

Legislative Appropriations Request

For Fiscal Years 2020 and 2021

Submitted to the
Governor's Office of Budget and Planning
and the Legislative Budget Board

By

The Executive Council of Physical Therapy and Occupational Therapy Examiners

<i>Council Member</i>	<i>Term Ending</i>	<i>Hometown</i>
	February 1,	
Arthur R. Matson, Presiding Officer	2019	Georgetown
Stephanie Johnston, OTR	2019	Magnolia
Amanda Ellis, OT Public Member	2019	Austin
Barbara Sanders, PT	2019	Austin
Philip Vickers, PT Public Member	2019	Aledo

August 3, 2018 - (Revised: October 11, 2018)

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August 3, 2018 - (Revised: October 11, 2018)

Submitted by: 
Executive Director

Approved by: 
Presiding Officer

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Administrator's Statement

8/21/2018 3:13:46PM

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Agency Mission and Organization

The mission of the Executive Council of Physical Therapy and Occupational Therapy Examiners (ECPTOTE) is to protect the health, safety, and welfare of the people of Texas through the regulation and enforcement of the practice of physical therapy and of occupational therapy.

ECPTOTE is an independent administrative governmental agency in which all staff employees directly support or carry out the functions of one or both boards. ECPTOTE staff is organized into three functional areas – administrative support, licensing, and investigations. The administrative staff supports the activities of the board members and other two staff groups in general, personnel, financial, and general administration and information technology services. The licensing staff responds to the needs of the physical therapy and occupational therapy licensee population they support. The investigation staff receives and investigates all complaints against the boards' licensees and works closely with the investigation committees of the two boards.

Major Concerns

- ECPTOTE is charged with protecting public health and safety by licensing qualified practitioners of physical therapy and occupational therapy, and registering facilities in which those services are provided. In the coming biennium, the agency faces the same three major challenges it has had since coming into existence in 1993: The most significant concerns are the continued shortage of physical and occupational therapy services in under-served areas within Texas, and state and federal legislation which may impose limits on reimbursement for therapy services. The first concern is not as great as in previous years as the overall number of therapists has generally met the demand, many from out of state. The challenge faced by the two boards is to find the balance between quality and quantity of therapy services, keeping in mind the primary goal of protecting the health and safety of the public, and the availability of funding for those services.

- The greatest challenge to ECPTOTE is to insure that the state's decision-makers are aware of the direct link between timely and quality services and the operational resources they provide the agency. The agency has sustained several severe financial setbacks in the past few bienniums, which was alleviated by an increase in funding in the FY2016/17 biennium, but retrogressed in the FY2018/19 budget. Coupled to a continuous annual increase in workload, despite this increase, the provided funds have placed a constraint on the agency's ability to perform its mission since then and in the near future. A continuing 3.9% budget cut for the upcoming biennium only exacerbates the situation.

- The Executive Council, the Physical Therapy Board and the Occupational Therapy Board underwent a Sunset Review that stretched from June 2015 until January 2017. The 85th Legislature passed an agency specific Sunset Bill (SB317) that contained many of the recommendations of the Sunset Commission. This was the first Sunset review of these three entities since 1993, which had many outdated provisions in their practice acts. The area that will impact the agency revenues is the elimination of the Facility Registration Program in September 2019, which annually brings about \$1M to General Revenue. The other passed recommendation with potential fiscal impact is a mandatory requirement to fingerprint and conduct background checks on all licensees and new applicants, which will start on January 1, 2019. To date it is impossible to predict the cost to the agency for this program, but other agencies that have implemented this program have dedicated employees assigned to the task. The agency Self Evaluation Report identified to the Sunset Advisory Commission forty-five issues requiring changes to their practice acts. Of those 45 issues, 24 were not included in SB317, the agency sunset bill – four for the Executive Council, nine for the PT board and 11 for the OT board. Most of those 24 recommended changes were corrective, but do not have a major impact on agency or board operations. The agency or boards will not pursue their inclusion in future legislation, but the trade associations may have an interest in pursuing their passage in future legislative sessions. However, the agency and boards consider these issues as serious impediments to effectively accomplishing their mission, although an associated cost is not expected.

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In addition to the agency and board concerns not addressed in the Self Evaluation Report and related legislative action, the Executive Council submitted eleven Exceptional Items Requests in its Legislative Appropriations Request for FY2018 and FY2019. One request was funded in a rider, and two other, small requests were funded from General Revenue. The other requests were not funded. There are six Exceptional Items requested for FY2020/21.

A statement we insert in this section every biennium is that the agency's current performance of licensing and investigation functions continues to meet or exceed the pre-merger levels of the separate boards in all areas. Each year the licensee performance measures far exceed those during the first year of the Executive Council's existence. We are also conducting investigations more efficiently and resolving them quickly, with the number of disciplinary actions taken by the boards increasing each year.

Fiscal Aspects Affecting the Budget

- The Executive Council's appropriations are used solely to support the functions of the Physical Therapy and the Occupational Therapy Boards. All funds for ECPTOTE come from the General Revenue Fund, and to a lesser extent, from appropriated receipts, specifically the sales of goods and services (mailing lists) and a renewal assessment to pay for mass emails. ECPTOTE receives no federal funding, and collects licensing and registration fees on behalf of the Physical Therapy Board and the Occupational Therapy Board. All fees are deposited to General Revenue. ECPTOTE is required to collect a pre-designated part of its funding, \$56,000 per year, of which it will collect about \$90,000 in FY2018. These appropriated receipts are generated through sales of mailing lists and labels; our success or lack of used to be linked to the health of the economy, but is now tied to other forces such as social media, increased use of the internet, and other technology driven options. Renewal assessments for email notifications began in January 2016, and for several reasons, the agency does not have a good handle on prediction of the annual receipts, although it does plan to allow excess appropriated receipts to lapse at the end of the fiscal year.

- Potential Four Percent Reduction: ECPTOTE will continue to perform its mission of protecting the public despite a continuing 3.9% percent cut to its FY2015/6 baseline budget. It has managed to do so in the past despite budget cuts of even greater amounts, but there have been consequences, such as personnel losses, worsening results in both licensing and enforcement performance measures, and lost opportunities to better serve the public and licensees. Depending on budgeted expenses and inflation costs, which only rise every year, the agency and two boards may go back to 3 vs. 4 board meetings annually.

Economic Aspects Affecting the Budget

- Insufficient funding has had the greatest negative impact on the ability of ECPTOTE to adequately support its mission. Other economic variables affecting the agency through their impacts on its licensees and registered facilities include rising health-care costs, the uncertainty about the future of Medicare/Medicaid and their reimbursement, national health care initiatives, the change in priorities of state spending and fund allocation, and the increase in the need for state services.

- The demand for physical therapy and occupational therapy services in Texas will continue to grow at the same rate as in the past. If the agency continues to receive the same amount of funding to support its licensing and enforcement missions as it has in the past, then services will suffer despite efficiencies developed in agency processes and procedures over the past years.

Other Issues Impacting the Appropriations Request

- Historically, both the Physical Therapy Board and Occupational Therapy Board have deposited to General Revenue far more than was expended by the boards for their

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operations. While the fee schedule has had only a few modest increases since 1993, the large jump in revenue from then until today can be attributed to the registration of facilities, a steady increase in the number of new licensees starting to work and continuing to work in Texas, and an over assessment of fees to fund contingent revenue requirements.

- Based on prior years' experience, the Executive Council anticipates that for the next biennium, each service population group (PTs and OTs) will increase by a total of 1,500 to 2,000 annually, and the number of registered facilities will increase by about a hundred per year. We also expect to receive and process slightly larger number of inquiries and complaints due to our emphasis in information availability through alternate means, the stable service population, and an increasing public awareness of enforcement. With a relatively small adjustment to the current budget level, the Executive Council should be able to adequately provide the protection and services required by its mission. The extra funds required would be easily covered by the overall increase of revenue that accrues to the state due to increase in licensees. However, any state or federal legislation which places additional demands on our licensing functions, or disciplinary procedures which require funding to implement, or a sudden upsurge in disciplinary activity or major budgetary cut late in the fiscal year will have an adverse impact on the functioning of the agency. There is never any slack built into the agency budget that is submitted each biennium.

- The Executive Council is requesting additional funding in the 2018-2019 biennium budget cycle for the following Exceptional Items in priority order:
 - Replacement of the office furniture items that are reaching the end of their useful lives (one time cost). The newest office furniture is as old as ECPTOTE, and the rest is even older.
 - Funding to replace one of the two agency servers that will be out of warranty in the upcoming biennium, and to avoid a repeat of an agency server crash in early CY2014 that took down the licensing database for more than a week;
 - Restore the \$15,300 per year the agency is directed to help pay for employee health care and retirement.
 - Offset to the increase in the agency share of the mandatory HPC support. In FY2020/ FY2021 the agency's transfer amount to the HPC will increase by \$5,169/year. Funding is requested to offset that increase.

10% Budget Reduction

Prior to preparing the Legislative Appropriation Requests for the FY 2016/17 and FY2018/19 bienniums, preparation guidance was provided agencies by the Legislative Budget Board and Governor's Office. Specifically, ECPTOTE, as well as other state agencies, had to submit a baseline request limited to its FY2014/15 appropriations, which incorporates the prior biennium budget cuts. This is mentioned, because there is a similar requirement for the FY2020/21 biennium, and the expected impact on the agency remains the same. Since ECPTOTE has had many similar cuts in past budget, it has a pretty good idea on just what the impacts will be if this 10% cut is imposed. It also has noticed that there are always budget cuts in addition to those initially stated, so the plan below incorporates those unexpected cuts also. Initial rough calculations show that a 10% budget cut would translate to about \$125,000 per year. If the agency does suffer a 10% budget cut in the next biennium, it will have these impacts (similar to last biennium's estimates):

The impact to the agency will necessitate eliminating the following basic operational requirements per fiscal year:

- Funding for three FTEs @ \$84,639 (licensing clerks)
- Longevity pay for FTE @ \$5,000
- Board member per Diem @5,000
- Employee Assistance Program and other contracts @ \$1,000
- Database programming/maintenance and web page support @ \$3,758

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- Consumable supplies @ \$5,909
- Emergency repairs @ \$2,200
- Investigator and board member travel @ \$19,970

As a minimum, and based on past experience, the impact to the State of Texas will result in an expected drop in current performance measure statistics of:

- Average licensing cost per individual license
 - Average cost per facility registration issued
 - Percentage of new licenses issued within 10 days
 - Percentage of license renewals issued within 7 days
 - Average time for license issuance
 - Average time for license renewal
- Plus performance measures that have been improving every year, but will now worsen:
- Number of complaints resolved
 - Average time for complaint resolution

Other actions the agency will be forced to take include:

- Continuing to not award employee merit raises, and substitute administrative leave instead for recognizing exceptional performance.
- return to only two or three board/council meetings per year; basically returning to a situation that existed for several years in the 2000 's.
- Halt any maintenance updates of the agency website such as the licensing verification database, forcing customers to use other forms of communication to obtain services / information. (only make changes that cost little or nothing)
- Cut all travel except board member travel and absolutely essential investigator travel.
- Cancel all planned and future training/seminar attendance by employees and board members.
- Cancel school presentation programs by board coordinators and investigators unless totally paid for by the school.
- Cancel current printing contracts. Reevaluated future needs and move all board forms to the web site.
- Keep austere control over supplies.
- Defer all purchases of computer equipment except emergency repair items.
- Repair nothing in the agency, except safety related emergency repairs.
- Cancel service contracts such as document shredding, moving of office furniture, EAP program, etc.

Other expected intangible impacts:

- More efficient, but initially costly, improvements to agency processes delayed or cancelled resulting in inability to take advantage of technology multipliers.
- Suffer continued decline in morale of employees due to layoffs of two or more personnel, permanent elimination of all financial incentives, training opportunities, and allowing workspace environment to degenerate. This will also result in extremely deteriorated service to licensees, the public, and anyone else who interacts with the agency.
- Board visibility with supported population will continue to drop due to travel cutbacks and elimination of exposure to school programs and association meetings.

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- Board actions on proposed rules, rulings, and decisions will be delayed by at least an additional two months each quarter.
- Enforcement performance measures seriously degraded due to investigation committee meeting cancellations and rescheduling every four months vs. three, and cutback of on-site investigations.
- Will experience large increase in quantity of unsatisfactory phone communications by staff due to length of time to respond and the unavailability of current information formerly mailed out or on web site.
- Will halt programs that formerly increased licensee awareness of rules and practice acts and will gradually increase number of disciplinary cases.

Cost areas in which ECPTOTE would make “last resort” cuts include laying off additional personnel, communications services, halt more direct services for licensees and the public, on-going IT activities to include database support, and all expenditures not required by law. There will also be an even greater negative impact on performance measures than outlined above.

Health Professions Council

The Executive Council is a member of the Health Professions Council. The agency transfers funds through interagency contract (GAA Article VIII Special Provisions) to the HPC for our prorated share of HPC’s operating budget. Please refer to the HPC LAR for prorated increases to the Executive Council. The Executive Council fully supports the HPC request assuming additional appropriations are made to the Executive Council to offset any increases.

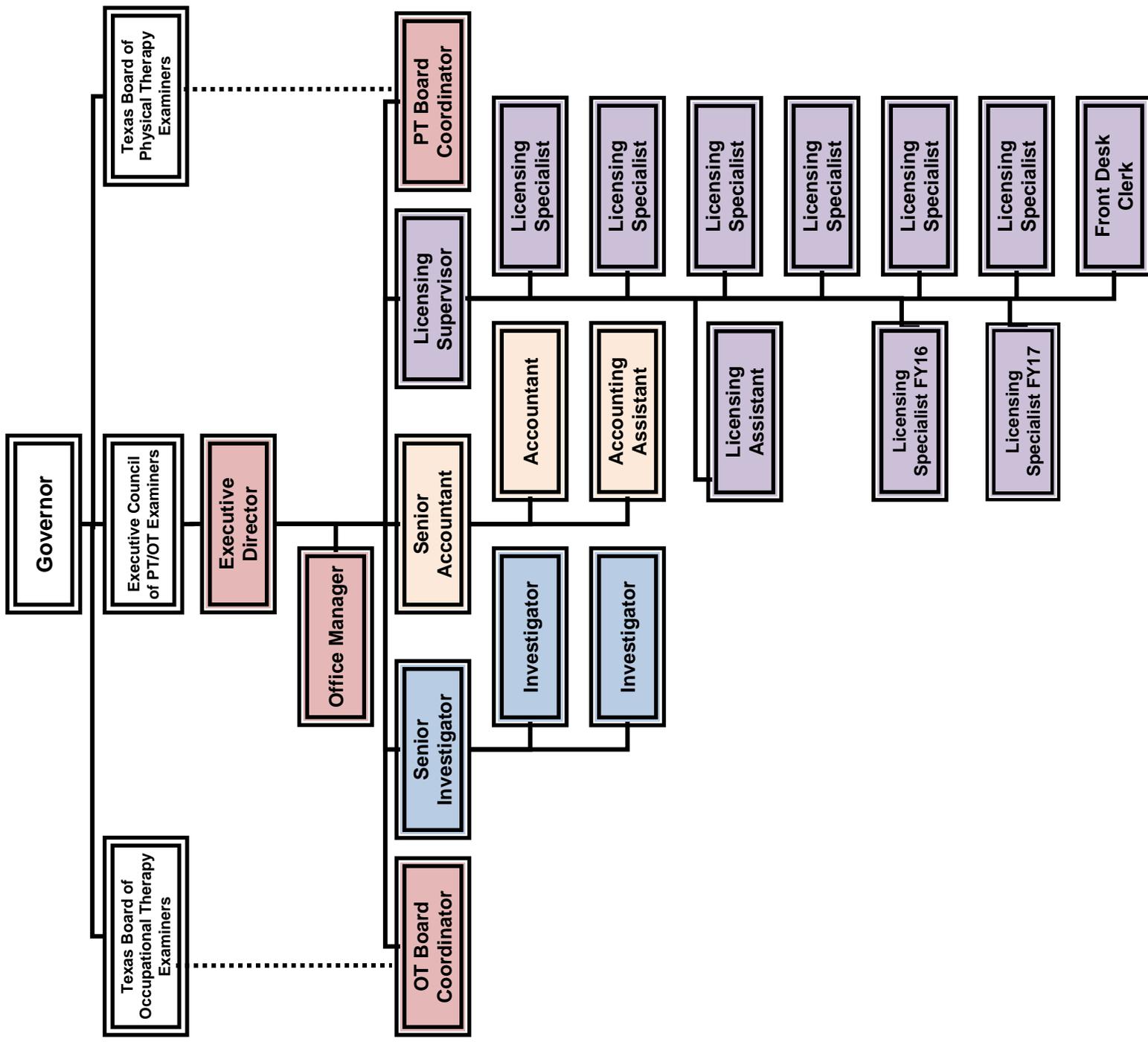
Executive Council Members:

Roger Matson, Presiding Officer, Georgetown
Amanda Ellis, OT Public Member, Austin
Stephanie Johnston, OTR, Houston
Philip Vickers, PT Public Member, Aledo
Barbara Sanders, PT, Austin

Council member terms end February 1, 2019

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Executive Council of Physical Therapy and Occupational Therapy Examiners FY 2017/18/19





CERTIFICATE

Agency Name Executive Council of Physical Therapy & Occupational Therapy Examiners

This is to certify that the information contained in the agency Legislative Appropriations Request filed with the Legislative Budget Board (LBB) and the Governor's Office Budget Division (Governor's Office) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's Office will be notified in writing in accordance with Article IX, Section 7.01 (2018-19 GAA).

Chief Executive Officer or Presiding Judge

John Maline

Signature

John Maline

Printed Name

Executive Director

Title

8/21/2018

Date

Board or Commission Chair

Arthur R. Matson

Signature

Arthur R. Matson

Printed Name

Presiding Officer

Title

8/21/2018

Date

Chief Financial Officer

Ralph Harper

Signature

Ralph Harper

Printed Name

Senior Accountant

Title

8/21/2018

Date

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
1 License Physical and Occupational Therapists					
1 <i>Ensure License Standards for PTs and OTs</i>					
1 OPERATE LICENSING SYSTEM	773,511	778,091	849,970	813,278	813,279
2 TEXAS.GOV	181,811	206,500	157,715	157,715	157,715
TOTAL, GOAL 1	\$955,322	\$984,591	\$1,007,685	\$970,993	\$970,994
2 Promote Compliance and Enforce PT and OT Practice Acts and Rules					
1 <i>Enforce and Adjudicate PT and OT Practice Acts</i>					
1 ADMINISTER ENFORCEMENT	471,062	437,768	443,550	440,647	440,647
TOTAL, GOAL 2	\$471,062	\$437,768	\$443,550	\$440,647	\$440,647
3 Indirect Administration					
1 <i>Indirect Administration</i>					
1 LICENSING INDIRECT ADMINISTRATION	4,783	1,716	3,996	2,242	2,242
2 ENFORCEMENT INDIRECT ADMINISTRATION	3,184	1,143	2,664	1,494	1,494

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
TOTAL, GOAL 3	\$7,967	\$2,859	\$6,660	\$3,736	\$3,736
TOTAL, AGENCY STRATEGY REQUEST	\$1,434,351	\$1,425,218	\$1,457,895	\$1,415,376	\$1,415,377
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$1,434,351	\$1,425,218	\$1,457,895	\$1,415,376	\$1,415,377
METHOD OF FINANCING:					
General Revenue Funds:					
1 General Revenue Fund	1,340,272	1,341,818	1,376,935	1,359,376	1,359,377
SUBTOTAL	\$1,340,272	\$1,341,818	\$1,376,935	\$1,359,376	\$1,359,377
Other Funds:					
666 Appropriated Receipts	94,079	83,400	80,960	56,000	56,000
SUBTOTAL	\$94,079	\$83,400	\$80,960	\$56,000	\$56,000
TOTAL, METHOD OF FINANCING	\$1,434,351	\$1,425,218	\$1,457,895	\$1,415,376	\$1,415,377

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance

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Agency code: 533	Agency name: Executive Council of Physical Therapy & Occupational Therapy Exan				
METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE</u>					
<u>1</u> General Revenue Fund					
<i>REGULAR APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2016-17 GAA)	\$1,335,991	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$1,341,818	\$1,376,935	\$0	\$0
Regular Appropriations 2020 - 2021	\$0	\$0	\$0	\$1,359,376	\$1,359,377
<i>RIDER APPROPRIATION</i>					
Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2016-17 GAA)	\$24,096	\$0	\$0	\$0	\$0
<i>TRANSFERS</i>					
Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)	\$14,607	\$0	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					

2.B. Summary of Base Request by Method of Finance
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Agency code: 533		Agency name: Executive Council of Physical Therapy & Occupational Therapy Exan				
METHOD OF FINANCING		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE</u>						
	Savings due to Hiring Freeze	\$(33,352)	\$0	\$0	\$0	\$0
	Regular Appropriation from MOF Table (2016-17 GAA)	\$(1,070)	\$0	\$0	\$0	\$0
	Comments: Cost savings to the agency					
TOTAL,	General Revenue Fund	\$1,340,272	\$1,341,818	\$1,376,935	\$1,359,376	\$1,359,377
TOTAL, ALL	GENERAL REVENUE	\$1,340,272	\$1,341,818	\$1,376,935	\$1,359,376	\$1,359,377

OTHER FUNDS

666 Appropriated Receipts
REGULAR APPROPRIATIONS

	Regular Appropriations from MOF Table (2016-17 GAA)	\$56,000	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$56,000	\$56,000	\$0	\$0

2.B. Summary of Base Request by Method of Finance

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Agency code: 533		Agency name: Executive Council of Physical Therapy & Occupational Therapy Exan				
METHOD OF FINANCING		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>OTHER FUNDS</u>						
Regular Appropriations 2020 - 2021		\$0	\$0	\$0	\$56,000	\$56,000
<i>RIDER APPROPRIATION</i>						
Art IX Sec 12.02, Publications or Sales of Records (2016-2017 GAA)		\$38,079	\$0	\$0	\$0	\$0
Comments: Deposits in excess of (\$56,000) Appropriated Receipts						
Art IX Sec 12.02, Publications or Sales of Records (2018-2019 GAA)		\$0	\$27,400	\$24,960	\$0	\$0
Comments: Deposits in excess of (\$56,000) Appropriated Receipts						
TOTAL,	Appropriated Receipts	\$94,079	\$83,400	\$80,960	\$56,000	\$56,000
TOTAL, ALL	OTHER FUNDS	\$94,079	\$83,400	\$80,960	\$56,000	\$56,000
GRAND TOTAL		\$1,434,351	\$1,425,218	\$1,457,895	\$1,415,376	\$1,415,377

2.B. Summary of Base Request by Method of Finance

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Agency code: 533	Agency name: Executive Council of Physical Therapy & Occupational Therapy Exan				
METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2016-17 GAA)	21.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2016-17 GAA)	(1.5)	0.0	0.0	0.0	0.0
Comments: 1 Unfilled FTE Position / 0.5 due to hire freeze					
Regular Appropriations from MOF Table (2018-19 GAA)	0.0	21.0	21.0	21.0	21.0
Regular Appropriations from MOF Table (2018-19 GAA)	0.0	(1.0)	(1.0)	0.0	0.0
Comments: Unfilled FTE Position					
Regular Appropriations from (2020 -2021)	0.0	0.0	0.0	(1.0)	(1.0)
Comments: Unfilled FTE					
TOTAL, ADJUSTED FTES	19.5	20.0	20.0	20.0	20.0

**NUMBER OF 100% FEDERALLY FUNDED
FTEs**

2.C. Summary of Base Request by Object of Expense
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OBJECT OF EXPENSE	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
1001 SALARIES AND WAGES	\$981,449	\$1,011,865	\$993,811	\$1,061,814	\$1,061,815
1002 OTHER PERSONNEL COSTS	\$68,883	\$106,363	\$125,170	\$45,981	\$45,981
2001 PROFESSIONAL FEES AND SERVICES	\$53,979	\$267	\$15,997	\$4,163	\$4,163
2003 CONSUMABLE SUPPLIES	\$13,891	\$10,836	\$15,000	\$10,001	\$10,001
2004 UTILITIES	\$10,150	\$9,785	\$10,897	\$12,500	\$12,500
2005 TRAVEL	\$32,809	\$30,212	\$48,000	\$42,520	\$42,520
2006 RENT - BUILDING	\$539	\$5,072	\$2,206	\$500	\$500
2009 OTHER OPERATING EXPENSE	\$272,651	\$250,818	\$246,814	\$237,897	\$237,897
OOE Total (Excluding Riders)	\$1,434,351	\$1,425,218	\$1,457,895	\$1,415,376	\$1,415,377
OOE Total (Riders)					
Grand Total	\$1,434,351	\$1,425,218	\$1,457,895	\$1,415,376	\$1,415,377

2.D. Summary of Base Request Objective Outcomes

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Goal/ Objective / Outcome	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
1 License Physical and Occupational Therapists 1 Ensure License Standards for PTs and OTs					
KEY 1 Percent of Licensees with No Recent Violations: Physical Therapy	99.00%	99.00%	99.00%	99.00%	99.00%
KEY 2 Percent of Licensees with No Recent Violations: Occupational Therapy	99.00%	99.00%	99.00%	99.00%	99.00%
KEY 3 Percent of Licensees Who Renew Online	94.00%	94.00%	94.00%	94.00%	94.00%
KEY 4 Percent of New Individual Licenses Issued Online	95.00%	95.00%	95.00%	95.00%	95.00%
2 Promote Compliance and Enforce PT and OT Practice Acts and Rules 1 Enforce and Adjudicate PT and OT Practice Acts					
KEY 1 Percent of Complaints Resulting in Disciplinary Action: PT	9.00%	10.00%	10.00%	10.00%	10.00%
KEY 2 Percent of Complaints Resulting in Disciplinary Action: OT	12.00%	10.00%	10.00%	10.00%	10.00%
3 Recidivism Rate for Those Receiving Disciplinary Action: PT	0.00%	0.00%	0.00%	0.00%	0.00%
4 Recidivism Rate for Those Receiving Disciplinary Action: OT	0.00%	0.00%	0.00%	0.00%	0.00%
5 Percent of Documented Complaints Resolved within Six Months: PT	91.00%	95.00%	95.00%	95.00%	95.00%
6 Percent of Documented Complaints Resolved within Six Months: OT	93.00%	95.00%	95.00%	95.00%	95.00%

2.E. Summary of Exceptional Items Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/21/2018
 TIME : 3:13:48PM

Agency code: 533

Agency name: **Executive Council of Physical Therapy & Occupational Therapy
 Examiners**

Priority	Item	2020			2021			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Replace office furniture	\$2,500	\$2,500		\$0	\$0		\$2,500	\$2,500
2	Agency server	\$4,819	\$4,819		\$0	\$0		\$4,819	\$4,819
3	Restore contribution by agency	\$15,300	\$15,300		\$15,300	\$15,300		\$30,600	\$30,600
4	HPC support	\$5,169	\$5,169		\$5,169	\$5,169		\$10,338	\$10,338
Total, Exceptional Items Request		\$27,788	\$27,788		\$20,469	\$20,469		\$48,257	\$48,257

Method of Financing

General Revenue	\$27,788	\$27,788		\$20,469	\$20,469		\$48,257	\$48,257
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$27,788	\$27,788		\$20,469	\$20,469		\$48,257	\$48,257

Full Time Equivalent Positions

Number of 100% Federally Funded FTEs

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2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/21/2018
 TIME : 3:13:48PM

Agency code: 533 Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
1 License Physical and Occupational Therapists						
1 <i>Ensure License Standards for PTs and OTs</i>						
1 OPERATE LICENSING SYSTEM	\$813,278	\$813,279	\$16,923	\$12,385	\$830,201	\$825,664
2 TEXAS.GOV	157,715	157,715	0	0	157,715	157,715
TOTAL, GOAL 1	\$970,993	\$970,994	\$16,923	\$12,385	\$987,916	\$983,379
2 Promote Compliance and Enforce PT and OT Practice Acts and Rules						
1 <i>Enforce and Adjudicate PT and OT Practice Acts</i>						
1 ADMINISTER ENFORCEMENT	440,647	440,647	10,241	7,826	450,888	448,473
TOTAL, GOAL 2	\$440,647	\$440,647	\$10,241	\$7,826	\$450,888	\$448,473
3 Indirect Administration						
1 <i>Indirect Administration</i>						
1 LICENSING INDIRECT ADMINISTRATION	2,242	2,242	374	155	2,616	2,397
2 ENFORCEMENT INDIRECT ADMINISTRATION	1,494	1,494	250	103	1,744	1,597
TOTAL, GOAL 3	\$3,736	\$3,736	\$624	\$258	\$4,360	\$3,994
TOTAL, AGENCY STRATEGY REQUEST	\$1,415,376	\$1,415,377	\$27,788	\$20,469	\$1,443,164	\$1,435,846
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$1,415,376	\$1,415,377	\$27,788	\$20,469	\$1,443,164	\$1,435,846

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/21/2018
 TIME : 3:13:48PM

Agency code: 533 Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
General Revenue Funds:						
1 General Revenue Fund	\$1,359,376	\$1,359,377	\$27,788	\$20,469	\$1,387,164	\$1,379,846
	\$1,359,376	\$1,359,377	\$27,788	\$20,469	\$1,387,164	\$1,379,846
Other Funds:						
666 Appropriated Receipts	56,000	56,000	0	0	56,000	56,000
	\$56,000	\$56,000	\$0	\$0	\$56,000	\$56,000
TOTAL, METHOD OF FINANCING	\$1,415,376	\$1,415,377	\$27,788	\$20,469	\$1,443,164	\$1,435,846
FULL TIME EQUIVALENT POSITIONS	20.0	20.0	0.0	0.0	20.0	20.0

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/25/2018

Time: 2:41:05PM

Agency code: 533

Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Goal/ Objective / Outcome

		BL 2020	BL 2021	Excp 2020	Excp 2021	Total Request 2020	Total Request 2021
1	License Physical and Occupational Therapists						
1	Ensure License Standards for PTs and OTs						
KEY	1 Percent of Licensees with No Recent Violations: Physical Therapy						
		99.00%	99.00%			99.00%	99.00%
KEY	2 Percent of Licensees with No Recent Violations: Occupational Therapy						
		99.00%	99.00%			99.00%	99.00%
KEY	3 Percent of Licensees Who Renew Online						
		94.00%	94.00%			94.00%	94.00%
KEY	4 Percent of New Individual Licenses Issued Online						
		95.00%	95.00%			95.00%	95.00%
2	Promote Compliance and Enforce PT and OT Practice Acts and Rules						
1	Enforce and Adjudicate PT and OT Practice Acts						
KEY	1 Percent of Complaints Resulting in Disciplinary Action: PT						
		10.00%	10.00%			10.00%	10.00%
KEY	2 Percent of Complaints Resulting in Disciplinary Action: OT						
		10.00%	10.00%			10.00%	10.00%
	3 Recidivism Rate for Those Receiving Disciplinary Action: PT						
		0.00%	0.00%			0.00%	0.00%

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/25/2018

Time: 2:41:05PM

Agency code: 533

Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Goal/ Objective / Outcome

	BL 2020	BL 2021	Excp 2020	Excp 2021	Total Request 2020	Total Request 2021
4 Recidivism Rate for Those Receiving Disciplinary Action: OT	0.00%	0.00%			0.00%	0.00%
5 Percent of Documented Complaints Resolved within Six Months: PT	95.00%	95.00%			95.00%	95.00%
6 Percent of Documented Complaints Resolved within Six Months: OT	95.00%	95.00%			95.00%	95.00%

Budget Overview - Biennial Amounts
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

533 Executive Council of Physical Therapy &
 Appropriation Years: 2020-21

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS	
	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2020-21	
Goal: 1. License Physical and Occupational Therapists												
1.1.1. Operate Licensing System	1,555,855	1,554,351					72,206	72,206	1,628,061	1,626,557	29,308	
1.1.2. Texas.Gov	364,215	315,430							364,215	315,430		
Total, Goal	1,920,070	1,869,781					72,206	72,206	1,992,276	1,941,987	29,308	
Goal: 2. Promote Compliance and Enforce PT and OT Practice Acts and Rules												
2.1.1. Administer Enforcement	790,154	842,490					91,164	38,804	881,318	881,294	18,067	
Total, Goal	790,154	842,490					91,164	38,804	881,318	881,294	18,067	
Goal: 3. Indirect Administration												
3.1.1. Licensing Indirect Administration	5,118	3,890					594	594	5,712	4,484	529	
3.1.2. Enforcement Indirect Administration	3,411	2,592					396	396	3,807	2,988	353	
Total, Goal	8,529	6,482					990	990	9,519	7,472	882	
Total, Agency	2,718,753	2,718,753					164,360	112,000	2,883,113	2,830,753	48,257	
Total FTEs									20.0	20.0	0.0	

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533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
 OBJECTIVE: 1 Ensure License Standards for PTs and OTs
 STRATEGY: 1 Issue and Renew Licenses

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Output Measures:						
KEY 1	Number of New Licenses Issued to Individuals: Physical Therapy	2,371.00	2,156.00	2,250.00	2,300.00	2,350.00
KEY 2	Number of New Licenses Issued to Individuals: Occupational Therapy	1,363.00	1,300.00	1,225.00	1,150.00	1,075.00
KEY 3	Number of Licenses Renewed (Individuals): Physical Therapy	6,268.00	9,700.00	9,800.00	9,850.00	9,900.00
KEY 4	Number of Licenses Renewed (Individuals): Occupational Therapy	6,381.00	6,500.00	6,625.00	6,750.00	6,875.00
Efficiency Measures:						
1	Average Licensing Cost Per Individual License Issued: PT	59.32	41.60	42.00	42.00	42.00
2	Average Licensing Cost Per Individual License Issued: OT	66.17	66.09	66.00	66.00	66.00
3	Percentage of New Individual Licenses Issued within Ten Days: PT	100.00 %	100.00 %	95.00 %	95.00 %	95.00 %
4	Percentage of New Individual Licenses Issued within Ten Days: OT	95.00 %	95.00 %	95.00 %	95.00 %	95.00 %
5	Percentage of Individual License Renewals Issued within Seven Days: PT	100.00 %	100.00 %	98.00 %	98.00 %	98.00 %

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
 OBJECTIVE: 1 Ensure License Standards for PTs and OTs
 STRATEGY: 1 Issue and Renew Licenses

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
6	Percentage of Individual License Renewals Issued within Seven Days: OT	100.00 %	98.00 %	98.00 %	98.00 %	98.00 %
7	Average Time for Individual License Issuance: Physical Therapy	2.50	3.56	3.00	3.00	3.00
8	Average Time for Individual License Issuance: Occupational Therapy	1.75	3.00	3.00	3.00	3.00
9	Average Time for Individual License Renewal: Physical Therapy	1.00	1.00	3.00	3.00	3.00
10	Average Time for Individual License Renewal: Occupational Therapy	1.00	3.00	3.00	3.00	3.00
Explanatory/Input Measures:						
1	Total Number of Individuals Licensed: Physical Therapy	27,703.00	28,600.00	29,500.00	30,400.00	31,300.00
2	Total Number of Individuals Licensed: Occupational Therapy	15,604.00	16,250.00	16,650.00	17,000.00	17,300.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$583,322	\$657,724	\$645,977	\$690,180	\$690,181
1002	OTHER PERSONNEL COSTS	\$60,715	\$49,187	\$80,610	\$30,108	\$30,108
2001	PROFESSIONAL FEES AND SERVICES	\$33,467	\$166	\$9,918	\$2,581	\$2,581
2003	CONSUMABLE SUPPLIES	\$8,805	\$6,733	\$9,300	\$6,201	\$6,201

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
 OBJECTIVE: 1 Ensure License Standards for PTs and OTs
 STRATEGY: 1 Issue and Renew Licenses

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
2004	UTILITIES	\$6,292	\$6,066	\$6,756	\$7,750	\$7,750
2005	TRAVEL	\$24,431	\$27,201	\$40,800	\$35,246	\$35,246
2006	RENT - BUILDING	\$334	\$3,145	\$1,368	\$310	\$310
2009	OTHER OPERATING EXPENSE	\$56,145	\$27,869	\$55,241	\$40,902	\$40,902
TOTAL, OBJECT OF EXPENSE		\$773,511	\$778,091	\$849,970	\$813,278	\$813,279
Method of Financing:						
1	General Revenue Fund	\$679,432	\$741,988	\$813,867	\$777,175	\$777,176
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$679,432	\$741,988	\$813,867	\$777,175	\$777,176
Method of Financing:						
666	Appropriated Receipts	\$94,079	\$36,103	\$36,103	\$36,103	\$36,103
SUBTOTAL, MOF (OTHER FUNDS)		\$94,079	\$36,103	\$36,103	\$36,103	\$36,103
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$813,278	\$813,279
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$773,511	\$778,091	\$849,970	\$813,278	\$813,279
FULL TIME EQUIVALENT POSITIONS:		12.7	13.0	13.0	13.0	13.0

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
 OBJECTIVE: 1 Ensure License Standards for PTs and OTs Service Categories:
 STRATEGY: 1 Issue and Renew Licenses Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Executive Council of Physical Therapy and Occupational Therapy Examiners is an independent state health regulatory agency. The 73rd Legislature, Regular Session, created the Executive Council in 1993 to administer and enforce the Physical Therapy Practice Act and the Occupational Therapy Practice Act. This legislation merged the administrative functions of the Texas Board of Physical Therapy Examiners and the Texas Advisory Board of Occupational Therapy under the Executive Council, while keeping the rule and decision-making of the two boards intact.

The two boards are tasked by their governing statutes to regulate the occupations of physical therapists (PT), physical therapist assistants (PTA), occupational therapists (OT) and occupational therapist assistants (OTA) through licensing and enforcement. Until September 2019, the agency is also tasked to register facilities which provide physical therapy and occupational therapy services.

This strategy allows the agency to assist in the fulfillment of the statewide priority goal of ensuring that communities are served by high quality professionals and businesses, by setting clear standards, maintaining compliance, and seeking market-based solutions. The licensing, examination, continuing education, and public information functions of this agency ensures the competency of the professionals providing physical therapy and occupational therapy services to the public.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
 OBJECTIVE: 1 Ensure License Standards for PTs and OTs Service Categories:
 STRATEGY: 1 Issue and Renew Licenses Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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In recent years there has been a substantial increase in the demand for physical therapy and occupational therapy services across the nation as well as in Texas, matching the general trend for all health services. This is reflected in the therapist population size. In the last six years though, this growth has slowed down to a steady 3-4% per year increase.

Rising health-care costs, the uncertainty about the future of Medicare/Medicaid reimbursement, and the increase in state requirements and services are the primary economic variables that affect the agency through its impact on the public and therefore its licensees.

By all estimates, the demand for physical therapy and occupational therapy services will continue to increase at the previous rate over the short-term in Texas. The agency can implement this strategy with the original baseline budget, but will have difficulties with a reduced budget, e.g., the 3.9% baseline budget reduction in the FY2018/2019 biennium.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$1,628,061	\$1,626,557	\$(1,504)	\$(1,504)	1001 - Salaries and Wages: one time merits & lump sum rider & excess appropriated receipts
			\$(1,504)	Total of Explanation of Biennial Change

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
 OBJECTIVE: 1 Ensure License Standards for PTs and OTs Service Categories:
 STRATEGY: 2 Texas.gov. Estimated and Nontransferable Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$181,811	\$206,500	\$157,715	\$157,715	\$157,715
TOTAL, OBJECT OF EXPENSE		\$181,811	\$206,500	\$157,715	\$157,715	\$157,715
Method of Financing:						
1	General Revenue Fund	\$181,811	\$206,500	\$157,715	\$157,715	\$157,715
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$181,811	\$206,500	\$157,715	\$157,715	\$157,715
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$157,715	\$157,715
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$181,811	\$206,500	\$157,715	\$157,715	\$157,715

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

In accordance with Government Code, Section 2054.252, Texas OnLine Project, the Executive Council of Physical Therapy and Occupational Therapy Examiners is required to participate in a licensee online renewal and application system. All licensees will pay a renewal and/or application subscription fee, collected and managed by the Comptroller, on behalf of NICUSA/Texas OnLine Authority, the ultimate recipient of the fees. Fees are collected by the agency. The agency makes a payment monthly to NICUSA for the previous month's fees.

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 1 License Physical and Occupational Therapists
 OBJECTIVE: 1 Ensure License Standards for PTs and OTs Service Categories:
 STRATEGY: 2 Texas.gov. Estimated and Nontransferable Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$364,215	\$315,430	\$(48,785)	\$(48,785)	This is a pass-through strategy. Amount reduced to the FY 2019 GAA estimate.
			\$(48,785)	Total of Explanation of Biennial Change

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules
 OBJECTIVE: 1 Enforce and Adjudicate PT and OT Practice Acts Service Categories:
 STRATEGY: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Output Measures:						
KEY 1	Number of Complaints Resolved: Physical Therapy	409.00	400.00	425.00	450.00	475.00
KEY 2	Number of Complaints Resolved: Occupational Therapy	268.00	250.00	260.00	270.00	280.00
Efficiency Measures:						
KEY 1	Average Time for Complaint Resolution: Physical Therapy	110.00	120.00	120.00	120.00	120.00
KEY 2	Average Time for Complaint Resolution: Occupational Therapy	108.00	120.00	120.00	120.00	120.00
3	Average Cost Per Complaint Resolved: Physical Therapy	114.00	125.00	125.00	125.00	125.00
4	Average Cost Per Complaint Resolved: Occupational Therapy	118.00	125.00	125.00	125.00	125.00
Explanatory/Input Measures:						
KEY 1	Number of Jurisdictional Complaints Received: Physical Therapy	450.00	450.00	475.00	500.00	525.00
KEY 2	Number of Jurisdictional Complaints Received: Occupational Therapy	241.00	250.00	260.00	270.00	280.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$398,127	\$354,141	\$347,834	\$371,634	\$371,634
1002	OTHER PERSONNEL COSTS	\$8,168	\$57,176	\$44,560	\$15,873	\$15,873

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules
 OBJECTIVE: 1 Enforce and Adjudicate PT and OT Practice Acts Service Categories:
 STRATEGY: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
2001	PROFESSIONAL FEES AND SERVICES	\$17,813	\$88	\$5,279	\$1,374	\$1,374
2003	CONSUMABLE SUPPLIES	\$4,417	\$3,563	\$4,950	\$3,300	\$3,300
2004	UTILITIES	\$3,349	\$3,229	\$3,596	\$4,125	\$4,125
2005	TRAVEL	\$8,378	\$3,011	\$7,200	\$7,274	\$7,274
2006	RENT - BUILDING	\$178	\$1,674	\$728	\$165	\$165
2009	OTHER OPERATING EXPENSE	\$30,632	\$14,886	\$29,403	\$36,902	\$36,902
TOTAL, OBJECT OF EXPENSE		\$471,062	\$437,768	\$443,550	\$440,647	\$440,647
Method of Financing:						
1	General Revenue Fund	\$471,062	\$390,966	\$399,188	\$421,245	\$421,245
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$471,062	\$390,966	\$399,188	\$421,245	\$421,245
Method of Financing:						
666	Appropriated Receipts	\$0	\$46,802	\$44,362	\$19,402	\$19,402
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$46,802	\$44,362	\$19,402	\$19,402

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules
 OBJECTIVE: 1 Enforce and Adjudicate PT and OT Practice Acts Service Categories:
 STRATEGY: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$440,647	\$440,647
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$471,062	\$437,768	\$443,550	\$440,647	\$440,647
FULL TIME EQUIVALENT POSITIONS:		6.8	7.0	7.0	7.0	7.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Executive Council of Physical Therapy and Occupational Therapy Examiners is an independent state health regulatory agency. The Executive Council was created in 1993 to administer and enforce the Physical Therapy Practice Act and the Occupational Therapy Practice Act. The two boards are tasked by their governing statutes to regulate the occupations of physical therapists (PT), physical therapist assistants (PTA), occupational therapists (OT), and occupational therapist assistants (OTA) through licensing and enforcement. Additionally, until September 2019, the agency is tasked to register facilities which provide physical therapy and occupational therapy services. The Texas Board of Physical Therapy Examiners' enabling statute is the Texas Physical Therapy Practice Act, Article 4512e, V.T.C.S.. The Texas Board of Occupational Therapy Examiners' enabling statute is the Occupational Therapy Practice Act, Article 8851, V.T.C.S.. This strategy will allow the agency to assist in the fulfillment of the statewide priority goal of ensuring that communities are served by high quality professionals and businesses by maintaining compliance. This strategy allows the agency to enforce the practice acts and rules of the Physical Therapy and Occupational Therapy Boards by monitoring the practice of physical therapy and occupational therapy, investigating complaints, and disciplining licensees who violate the practice acts or rules, thereby deterring other violations and safeguarding the public from unqualified practitioners.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The agency can implement this strategy with the original baseline budget, but will have difficulties with a reduced budget, e.g., the 3.9% baseline budget reduction in the FY2018/2019 biennium.

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules
 OBJECTIVE: 1 Enforce and Adjudicate PT and OT Practice Acts Service Categories:
 STRATEGY: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$881,318	\$881,294	\$(24)	\$(24)	1001 - Salaries and Wages: one time merits & lump sum rider & excess appropriated receipts
			\$(24)	Total of Explanation of Biennial Change

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Licensing Indirect Administration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$0	\$0	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$0	\$0	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$1,619	\$8	\$480	\$125	\$125
2003	CONSUMABLE SUPPLIES	\$401	\$324	\$450	\$300	\$300
2004	UTILITIES	\$309	\$294	\$327	\$375	\$375
2005	TRAVEL	\$0	\$0	\$0	\$0	\$0
2006	RENT - BUILDING	\$16	\$152	\$66	\$15	\$15
2009	OTHER OPERATING EXPENSE	\$2,438	\$938	\$2,673	\$1,427	\$1,427
TOTAL, OBJECT OF EXPENSE		\$4,783	\$1,716	\$3,996	\$2,242	\$2,242
Method of Financing:						
1	General Revenue Fund	\$4,783	\$1,419	\$3,699	\$1,945	\$1,945
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$4,783	\$1,419	\$3,699	\$1,945	\$1,945
Method of Financing:						
666	Appropriated Receipts	\$0	\$297	\$297	\$297	\$297
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$297	\$297	\$297	\$297

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Licensing Indirect Administration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021	
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)						\$2,242	\$2,242
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$4,783	\$1,716	\$3,996	\$2,242	\$2,242	

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

In general, indirect administrative and support costs are prorated on the basis of a percentage of the individual strategy to the actual budget total for each fiscal year. The percentage that applies to strategy 1, goal 3 is 3% for FY 2017 - FY2021. This calculation method was selected based on the one function only the agency operates, and the administrative demands are closely related to the budget size.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 1 Licensing Indirect Administration Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$5,712	\$4,484	\$(1,228)	\$(1,228)	2009 - Other Operating Expense: maintenance and repair 2006 - Rent Building: off site board meeting
			\$(1,228)	Total of Explanation of Biennial Change

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Enforcement Indirect Administration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$0	\$0	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$0	\$0	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$1,080	\$5	\$320	\$83	\$83
2003	CONSUMABLE SUPPLIES	\$268	\$216	\$300	\$200	\$200
2004	UTILITIES	\$200	\$196	\$218	\$250	\$250
2005	TRAVEL	\$0	\$0	\$0	\$0	\$0
2006	RENT - BUILDING	\$11	\$101	\$44	\$10	\$10
2009	OTHER OPERATING EXPENSE	\$1,625	\$625	\$1,782	\$951	\$951
TOTAL, OBJECT OF EXPENSE		\$3,184	\$1,143	\$2,664	\$1,494	\$1,494
Method of Financing:						
1	General Revenue Fund	\$3,184	\$945	\$2,466	\$1,296	\$1,296
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,184	\$945	\$2,466	\$1,296	\$1,296
Method of Financing:						
666	Appropriated Receipts	\$0	\$198	\$198	\$198	\$198
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$198	\$198	\$198	\$198

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Enforcement Indirect Administration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,494	\$1,494
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,184	\$1,143	\$2,664	\$1,494	\$1,494

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

In general, indirect administrative and support costs are prorated on the basis of a percentage of the individual strategy to the actual budget total for each fiscal year. The percentage that applies to strategy 1, goal 3 is 2% for FY 2017 - FY2021. This calculation method was selected based on the one function only the agency operates, and the administrative demands are closely related to the budget size.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 2 Enforcement Indirect Administration Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$3,807	\$2,988	\$(819)	\$(819)	2009 - Other Operating Expense: Maintenance and Repair 2006 - Rent - Building: off site board meeting
			\$(819)	Total of Explanation of Biennial Change

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$1,434,351	\$1,425,218	\$1,457,895	\$1,415,376	\$1,415,377
METHODS OF FINANCE (INCLUDING RIDERS):				\$1,415,376	\$1,415,377
METHODS OF FINANCE (EXCLUDING RIDERS):	\$1,434,351	\$1,425,218	\$1,457,895	\$1,415,376	\$1,415,377
FULL TIME EQUIVALENT POSITIONS:	19.5	20.0	20.0	20.0	20.0

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE
85th Regular Session, Agency Submission, Version 1

Agency Code: 533		Agency: Executive Council of Physical Therapy & Occupational Therapy Examiners				Prepared By: Ralph Harper					
Date:						18-19	Requested	Requested	Biennial Total	Biennial Difference	
Goal	Goal Name	Strategy	Strategy Name	Program	Program Name	Base	2020	2021	20-21	\$	%
A	Licensing And Registration	A.1.1.	Operate Licensing System	A.1.1.	Licensing	\$1,628,061	\$779,680	\$779,680	\$1,559,360	(\$68,701)	-4.2%
									\$0	\$0	
		A1.2.	Texas.Gov	A.1.2.	Licensing	\$364,215	\$157,715	\$157,715	\$315,430	(\$48,785)	-13.4%
					Restore 3.9% budget		\$35,928	\$35,928	\$71,856	\$71,856	
					Salary Increase		\$28,485	\$28,485	\$56,970	\$56,970	
					Replace office Furniture		\$1,550	\$1,550	\$3,100	\$3,100	
					Agency Server		\$2,988	\$2,988	\$5,976	\$5,976	
					Restore contributions by agency		\$9,180	\$9,180	\$18,360	\$18,360	
					HPC Support		\$3,205	\$3,205	\$6,410	\$6,410	
									\$0	\$0	
B	Enforcement	B.1.1	Administer Enforcement	B.1.1.	Enforcement	\$881,318	\$408,289	\$408,289	\$816,578	(\$64,740)	-7.3%
					Restore 3.9% budget		\$12,033	\$12,033	\$24,066	\$24,066	
					Salary Increase		\$15,338	\$15,338	\$30,676	\$30,676	
					Replace office Furniture		\$825	\$825	\$1,650	\$1,650	
					Agency Server		\$1,590	\$1,590	\$3,180	\$3,180	
					Restore contributions by agency		\$6,120	\$6,120	\$12,240	\$12,240	
					HPC Support		\$1,706	\$1,706	\$3,412	\$3,412	
									\$0	\$0	
C	Indirect Administration	C.1.1.	Licensing Indirect Administration	C.1.1	Licensing	\$5,712	\$2,451	\$2,451	\$4,902	(\$810)	-14.2%
					Restore 3.9% budget		\$924	\$924	\$1,848	\$1,848	
					Salary Increase		\$0	\$0	\$0	\$0	
					Replace office Furniture		\$75	\$75	\$150	\$150	
					Agency Server		\$144	\$144	\$288	\$288	
					Restore contributions by agency		\$0	\$0	\$0	\$0	
					HPC Support		\$155	\$155	\$310	\$310	
									\$0	\$0	
C	Indirect Administration	C.1.2.	Enforecement Indirect Administration	C.1.2.	Enforcement	\$3,807	\$1,574	\$1,574	\$3,148	(\$659)	-17.3%
					Restore 3.9% budget		\$616	\$616	\$1,232	\$1,232	
					Salary Increase		0	0	\$0	\$0	
					Replace office Furniture		50	50	\$100	\$100	
					Agency Server		97	97	\$194	\$194	
					Restore contributions by agency		0	0	\$0	\$0	
					HPC Support		103	103	\$206	\$206	

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4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533**

Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Replace end of life office furniture		
	Item Priority: 1		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > \$50,000: No		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Issue and Renew Licenses		
	02-01-01 Enforce the Physical Therapy and Occupational Therapy Practice Acts		
	03-01-01 Licensing Indirect Administration		
	03-01-02 Enforcement Indirect Administration		

OBJECTS OF EXPENSE:

2009	OTHER OPERATING EXPENSE	2,500	0
	TOTAL, OBJECT OF EXPENSE	\$2,500	\$0

METHOD OF FINANCING:

1	General Revenue Fund	2,500	0
	TOTAL, METHOD OF FINANCING	\$2,500	\$0

DESCRIPTION / JUSTIFICATION:

This request is for replacement of office furniture that is reaching the end or has reached the end of their useful lives. Funding of \$2,500 for each fiscal year is requested. Most of the office furniture is as old as ECPTOTE, and the rest is even older. The last time funding was provided for the replacement of furniture in the worst condition was in the FY 2015 Appropriations Act. This request is for office furniture that is not repairable.

EXTERNAL/INTERNAL FACTORS:

A similar request for replacement was requested but not funded as an exceptional item in the FY2016/17 and FY2018/19 Legislative Appropriations Requests.

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533**

Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Replace one of the two agency servers		
	Item Priority: 2		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > \$50,000: No		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Issue and Renew Licenses		
	02-01-01 Enforce the Physical Therapy and Occupational Therapy Practice Acts		
	03-01-01 Licensing Indirect Administration		
	03-01-02 Enforcement Indirect Administration		

OBJECTS OF EXPENSE:

2009	OTHER OPERATING EXPENSE	4,819	0
	TOTAL, OBJECT OF EXPENSE	\$4,819	\$0

METHOD OF FINANCING:

1	General Revenue Fund	4,819	0
	TOTAL, METHOD OF FINANCING	\$4,819	\$0

DESCRIPTION / JUSTIFICATION:

The request is for funding to replace one of the two agency servers that will be out of warranty in the upcoming biennium and that is beyond the four to five year replacement period as recommended by DIR. We are trying to avoid a repeat of an agency server crash in early CY2014 that took down the licensing database for more than a week and cost the agency about \$6,500, in addition to having to purchase a new server.

EXTERNAL/INTERNAL FACTORS:

This action is based on DIR and HPC IT techs recommendation for server replacement.

PCLS TRACKING KEY:

Agency code: **533**

Agency name:

Executive Council of Physical Therapy & Occupational Therapy Examiners

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Unfunded mandate – Restore employee health care and retirement contribution by agency		
	Item Priority: 3		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > \$50,000: No		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Issue and Renew Licenses		
	02-01-01 Enforce the Physical Therapy and Occupational Therapy Practice Acts		

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	15,300	15,300
	TOTAL, OBJECT OF EXPENSE	\$15,300	\$15,300

METHOD OF FINANCING:

1	General Revenue Fund	15,300	15,300
	TOTAL, METHOD OF FINANCING	\$15,300	\$15,300

DESCRIPTION / JUSTIFICATION:

Funding of \$15,300 in FY 2020 and \$15,300 in FY 2021 is requested to restore the agency's funds that are directed to help pay for its employee health care and retirement. This is a best guess request as the final sums will not be determined until after the final appropriations amount is made by the legislature. The funds for this expense come directly from the agency's regular appropriations with no reimbursement and no means to offset. The agency does not have the funds to continue to accept this cut to its appropriations. The necessary funds only continue to grow as employee salaries also increase. In the previous biennium the agency contributions were \$13,400/year.

EXTERNAL/INTERNAL FACTORS:

It is expected that this ever increasing contribution to the Employee Retirement System and Employee health system will continue as most unfunded mandates are prone to do, which will cause the agency to eventually cut critical services.

This was requested as an exceptional item, but not funded in the FY2016/2017 and FY2018/2019 Legislative Appropriations Requests.

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533**

Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Offset to the increase in the agency share of the mandatory HPC support Item Priority: 4 IT Component: No Anticipated Out-year Costs: No Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Issue and Renew Licenses		
	02-01-01 Enforce the Physical Therapy and Occupational Therapy Practice Acts		
	03-01-01 Licensing Indirect Administration		
	03-01-02 Enforcement Indirect Administration		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	5,169	5,169
	TOTAL, OBJECT OF EXPENSE	\$5,169	\$5,169
METHOD OF FINANCING:			
1	General Revenue Fund	5,169	5,169
	TOTAL, METHOD OF FINANCING	\$5,169	\$5,169

DESCRIPTION / JUSTIFICATION:

At the beginning of each fiscal year, the agency is directed to transfer an amount to the Health Professions Council (HPC) as their prorate share to support its operations. The transfer amount is set by the Legislature every two years and is transferred from the agency's regular appropriations with no reimbursement. In FY2018/19 the agency's transfer amount was \$27,712/year. In FY2020 and FY2021 the agency's transfer amount will increase by \$5,169/year. Funding is requested to offset that increase.

EXTERNAL/INTERNAL FACTORS:

None.

PCLS TRACKING KEY:

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name:	Replace end of life office furniture		
Allocation to Strategy:	1-1-1 Issue and Renew Licenses		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	1,550	0
TOTAL, OBJECT OF EXPENSE		\$1,550	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	1,550	0
TOTAL, METHOD OF FINANCING		\$1,550	\$0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name:	Replace end of life office furniture		
Allocation to Strategy:	2-1-1 Enforce the Physical Therapy and Occupational Therapy Practice Acts		
OBJECTS OF EXPENSE:			
2009 OTHER OPERATING EXPENSE		825	0
TOTAL, OBJECT OF EXPENSE		\$825	\$0
METHOD OF FINANCING:			
1 General Revenue Fund		825	0
TOTAL, METHOD OF FINANCING		\$825	\$0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Replace end of life office furniture			
Allocation to Strategy: 3-1-1 Licensing Indirect Administration			
OBJECTS OF EXPENSE:			
	2009 OTHER OPERATING EXPENSE	75	0
TOTAL, OBJECT OF EXPENSE		\$75	\$0
METHOD OF FINANCING:			
	1 General Revenue Fund	75	0
TOTAL, METHOD OF FINANCING		\$75	\$0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name:	Replace end of life office furniture		
Allocation to Strategy:	3-1-2 Enforcement Indirect Administration		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	50	0
TOTAL, OBJECT OF EXPENSE		\$50	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	50	0
TOTAL, METHOD OF FINANCING		\$50	\$0

Agency code: 533 Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Code	Description	Excp 2020	Excp 2021
Item Name:	Replace one of the two agency servers		
Allocation to Strategy:	1-1-1 Issue and Renew Licenses		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	2,988	0
TOTAL, OBJECT OF EXPENSE		\$2,988	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	2,988	0
TOTAL, METHOD OF FINANCING		\$2,988	\$0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name:	Replace one of the two agency servers		
Allocation to Strategy:	2-1-1 Enforce the Physical Therapy and Occupational Therapy Practice Acts		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	1,590	0
TOTAL, OBJECT OF EXPENSE		\$1,590	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	1,590	0
TOTAL, METHOD OF FINANCING		\$1,590	\$0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Replace one of the two agency servers			
Allocation to Strategy: 3-1-1 Licensing Indirect Administration			
OBJECTS OF EXPENSE:			
	2009 OTHER OPERATING EXPENSE	144	0
TOTAL, OBJECT OF EXPENSE		\$144	\$0
METHOD OF FINANCING:			
	1 General Revenue Fund	144	0
TOTAL, METHOD OF FINANCING		\$144	\$0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Replace one of the two agency servers			
Allocation to Strategy: 3-1-2 Enforcement Indirect Administration			
OBJECTS OF EXPENSE:			
	2009 OTHER OPERATING EXPENSE	97	0
TOTAL, OBJECT OF EXPENSE		\$97	\$0
METHOD OF FINANCING:			
	1 General Revenue Fund	97	0
TOTAL, METHOD OF FINANCING		\$97	\$0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Unfunded mandate – Restore employee health care and retirement contribution by agency			
Allocation to Strategy: 1-1-1 Issue and Renew Licenses			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	9,180	9,180
TOTAL, OBJECT OF EXPENSE		9,180	9,180
METHOD OF FINANCING:			
1	General Revenue Fund	9,180	9,180
TOTAL, METHOD OF FINANCING		9,180	9,180

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Unfunded mandate – Restore employee health care and retirement contribution by agency			
Allocation to Strategy: 2-1-1 Enforce the Physical Therapy and Occupational Therapy Practice Acts			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	6,120	6,120
TOTAL, OBJECT OF EXPENSE		6,120	6,120
METHOD OF FINANCING:			
1	General Revenue Fund	6,120	6,120
TOTAL, METHOD OF FINANCING		6,120	6,120

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME: **3:13:50PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Code	Description	Excp 2020	Excp 2021
Item Name: Offset to the increase in the agency share of the mandatory HPC support			
Allocation to Strategy: 1-1-1 Issue and Renew Licenses			
OBJECTS OF EXPENSE:			
	2009 OTHER OPERATING EXPENSE	3,205	3,205
TOTAL, OBJECT OF EXPENSE		3,205	3,205
METHOD OF FINANCING:			
	1 General Revenue Fund	3,205	3,205
TOTAL, METHOD OF FINANCING		3,205	3,205

Agency code: 533 Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Code	Description	Excp 2020	Excp 2021
Item Name: Offset to the increase in the agency share of the mandatory HPC support			
Allocation to Strategy: 2-1-1 Enforce the Physical Therapy and Occupational Therapy Practice Acts			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	1,706	1,706
TOTAL, OBJECT OF EXPENSE		\$1,706	\$1,706
METHOD OF FINANCING:			
1	General Revenue Fund	1,706	1,706
TOTAL, METHOD OF FINANCING		\$1,706	\$1,706

Agency code: 533 Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Code	Description	Excp 2020	Excp 2021
Item Name: Offset to the increase in the agency share of the mandatory HPC support			
Allocation to Strategy: 3-1-1 Licensing Indirect Administration			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	155	155
TOTAL, OBJECT OF EXPENSE		\$155	\$155
METHOD OF FINANCING:			
1	General Revenue Fund	155	155
TOTAL, METHOD OF FINANCING		\$155	\$155

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/21/2018
 TIME: 3:13:50PM

Agency code: 533 Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Code	Description	Excp 2020	Excp 2021
Item Name: Offset to the increase in the agency share of the mandatory HPC support			
Allocation to Strategy: 3-1-2 Enforcement Indirect Administration			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	103	103
TOTAL, OBJECT OF EXPENSE		\$103	\$103
METHOD OF FINANCING:			
1	General Revenue Fund	103	103
TOTAL, METHOD OF FINANCING		\$103	\$103

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/21/2018
TIME: 3:13:50PM

Agency Code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

GOAL: 1 License Physical and Occupational Therapists

OBJECTIVE: 1 Ensure License Standards for PTs and OTs

STRATEGY: 1 Issue and Renew Licenses

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	9,180	9,180
2009 OTHER OPERATING EXPENSE	7,743	3,205
Total, Objects of Expense	\$16,923	\$12,385

METHOD OF FINANCING:

1 General Revenue Fund	16,923	12,385
Total, Method of Finance	\$16,923	\$12,385

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Replace end of life office furniture

Replace one of the two agency servers

Unfunded mandate – Restore employee health care and retirement contribution by agency

Offset to the increase in the agency share of the mandatory HPC support

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/21/2018
TIME: 3:13:50PM

Agency Code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

GOAL: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules

OBJECTIVE: 1 Enforce and Adjudicate PT and OT Practice Acts

Service Categories:

STRATEGY: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	6,120	6,120
2009 OTHER OPERATING EXPENSE	4,121	1,706
Total, Objects of Expense	\$10,241	\$7,826

METHOD OF FINANCING:

1 General Revenue Fund	10,241	7,826
Total, Method of Finance	\$10,241	\$7,826

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Replace end of life office furniture

Replace one of the two agency servers

Unfunded mandate – Restore employee health care and retirement contribution by agency

Offset to the increase in the agency share of the mandatory HPC support

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/21/2018
TIME: 3:13:50PM

Agency Code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

GOAL: 3 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Licensing Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2020	Excp 2021
-------------------------	------------------	------------------

OBJECTS OF EXPENSE:

2009 OTHER OPERATING EXPENSE	374	155
Total, Objects of Expense	\$374	\$155

METHOD OF FINANCING:

1 General Revenue Fund	374	155
Total, Method of Finance	\$374	\$155

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Replace end of life office furniture

Replace one of the two agency servers

Offset to the increase in the agency share of the mandatory HPC support

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/21/2018
TIME: 3:13:50PM

Agency Code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

GOAL: 3 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 2 Enforcement Indirect Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2020	Excp 2021
-------------------------	------------------	------------------

OBJECTS OF EXPENSE:

2009 OTHER OPERATING EXPENSE	250	103
Total, Objects of Expense	\$250	\$103

METHOD OF FINANCING:

1 General Revenue Fund	250	103
Total, Method of Finance	\$250	\$103

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Replace end of life office furniture

Replace one of the two agency servers

Offset to the increase in the agency share of the mandatory HPC support

5.A. Capital Budget Project Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME : **3:13:51PM**

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2018

Bud 2019

BL 2020

BL 2021

5005 Acquisition of Information Resource Technologies

*2/2 Voice over Internet Protocol (VoIP)
 Implementation*

OBJECTS OF EXPENSE

Capital

General	2009	OTHER OPERATING EXPENSE		\$3,500	\$0	\$0	\$0
		Capital Subtotal OOE, Project	2	\$3,500	\$0	\$0	\$0
		Subtotal OOE, Project	2	\$3,500	\$0	\$0	\$0
TYPE OF FINANCING							
<u>Capital</u>							
General	CA	1	General Revenue Fund	\$3,500	\$0	\$0	\$0
			Capital Subtotal TOF, Project	\$3,500	\$0	\$0	\$0
			Subtotal TOF, Project	\$3,500	\$0	\$0	\$0
			Capital Subtotal, Category	\$3,500	\$0	\$0	\$0
			Informational Subtotal, Category				5005
			Total, Category	\$3,500	\$0	\$0	\$0

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

*1/1 Centralized Accounting and Payroll/Personnel
 Systems Deployment*

OBJECTS OF EXPENSE

Capital

General	2009	OTHER OPERATING EXPENSE		\$0	\$31,500	\$0	\$0
---------	------	-------------------------	--	-----	----------	-----	-----

5.A. Capital Budget Project Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME : **3:13:51PM**

Agency code: **533**

Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2018

Bud 2019

BL 2020

BL 2021

Capital Subtotal OOE, Project	1	\$0	\$31,500	\$0	\$0
Subtotal OOE, Project	1	\$0	\$31,500	\$0	\$0
TYPE OF FINANCING					
<u>Capital</u>					
General CA 1 General Revenue Fund		\$0	\$31,500	\$0	\$0
Capital Subtotal TOF, Project	1	\$0	\$31,500	\$0	\$0
Subtotal TOF, Project	1	\$0	\$31,500	\$0	\$0
Capital Subtotal, Category	8000	\$0	\$31,500	\$0	\$0
Informational Subtotal, Category	8000				
Total, Category	8000	\$0	\$31,500	\$0	\$0
AGENCY TOTAL -CAPITAL		\$3,500	\$31,500	\$0	\$0
AGENCY TOTAL -INFORMATIONAL					
AGENCY TOTAL		\$3,500	\$31,500	\$0	\$0
METHOD OF FINANCING:					
<u>Capital</u>					
General 1 General Revenue Fund		\$3,500	\$31,500	\$0	\$0
Total, Method of Financing-Capital		\$3,500	\$31,500	\$0	\$0
Total, Method of Financing		\$3,500	\$31,500	\$0	\$0

5.A. Capital Budget Project Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/21/2018**
 TIME : **3:13:51PM**

Agency code: **533**

Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2018

Bud 2019

BL 2020

BL 2021

TYPE OF FINANCING:

Capital

General CA CURRENT APPROPRIATIONS

\$3,500

\$31,500

\$0

\$0

Total, Type of Financing-Capital

\$3,500

\$31,500

\$0

\$0

Total, Type of Financing

\$3,500

\$31,500

\$0

\$0

5.B. Capital Budget Project Information
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/21/2018
 TIME: 3:13:51PM

Agency Code:	533	Agency name:	Executive Council of Physical Therapy & Occup:
Category Number:	8000	Category Name:	CAPPS Statewide ERP System
Project number:	1	Project Name:	CAPPS

PROJECT DESCRIPTION

General Information

Implement CAPPS

PLCS Tracking Key n/a

Number of Units / Average Unit Cost \$31,500

Estimated Completion Date FY 2021

Additional Capital Expenditure Amounts Required	2022	2023
	0	0

Type of Financing CA CURRENT APPROPRIATIONS

Projected Useful Life n/a

Estimated/Actual Project Cost \$31,500

Length of Financing/ Lease Period 0

<u>ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS</u>				Total over
2020	2021	2022	2023	project life
0	0	0	0	0

<u>REVENUE GENERATION / COST SAVINGS</u>		
<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>

Explanation: The Executive Council was notified by the Comptroller that CAPPS would be implemented in the agency in the agency starting in 9/1/18; with deployment scheduled by 7/2019. The requested funds are the amount calculated by the agency database administrator to make the necessary changes to the agency database to integrate the CAPPS system.

Project Location: 333 Guadalupe Street
 Ste 2-510
 Austin, TX 78701

Beneficiaries: Employees of ECPTOTE

Frequency of Use and External Factors Affecting Use:
 Daily - Implementation of the CAPPS system is required by CPA

5.B. Capital Budget Project Information
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/21/2018
 TIME: 3:13:51PM

Agency Code:	533	Agency name:	Executive Council of Physical Therapy & Occup:
Category Number:	5005	Category Name:	ACQUISITN INFO RES TECH.
Project number:	2	Project Name:	VoIP Implementation

PROJECT DESCRIPTION

General Information

VoIP Implementation

PLCS Tracking Key n/a

Number of Units / Average Unit Cost \$4,818

Estimated Completion Date FY 2019

Additional Capital Expenditure Amounts Required	2022	2023
	0	0

Type of Financing CA CURRENT APPROPRIATIONS

Projected Useful Life n/a

Estimated/Actual Project Cost \$4,818

Length of Financing/ Lease Period 0

ESTIMATED/ACTUAL DEBT OBLIGATION PAYMENTS

2020	2021	2022	2023	Total over project life
0	0	0	0	0

REVENUE GENERATION / COST SAVINGS

<u>REVENUE COST FLAG</u>	<u>MOF CODE</u>	<u>AVERAGE AMOUNT</u>

Explanation: Replacement of the current DIR supported phone system with a Voice-Over-Internet-Phone (VOIP) system due to shutdown of the current phone system by DIR in the indeterminate future. It is expected to occur by FY2018. This includes a network switch and server.

Project Location: 333 Guadalupe Street
 Ste 2-510
 Austin, TX 78701

Beneficiaries: Employees of ECPTOTE

Frequency of Use and External Factors Affecting Use:

Daily - Implementation of VoIP by the agency is required by DIR

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2018	Bud 2019	BL 2020	BL 2021	
5005 Acquisition of Information Resource Technologies						
<i>2/2</i>	<i>VoIP Implementation</i>					
<u>GENERAL BUDGET</u>						
Capital	1-1-1	OPERATE LICENSING SYSTEM	2,170	0	\$0	\$0
	2-1-1	ADMINISTER ENFORCEMENT	1,155	0	0	0
	3-1-1	LICENSING INDIRECT ADMINISTRATION	105	0	0	0
	3-1-2	ENFORCEMENT INDIRECT ADMINISTRATION	70	0	0	0
		TOTAL, PROJECT	\$3,500	\$0	\$0	\$0
8000 Centralized Accounting and Payroll/Personnel System (CAPPS)						
<i>1/1</i>	<i>CAPPS</i>					
<u>GENERAL BUDGET</u>						
Capital	1-1-1	OPERATE LICENSING SYSTEM	0	19,530	0	0
	2-1-1	ADMINISTER ENFORCEMENT	0	10,395	0	0
	3-1-1	LICENSING INDIRECT ADMINISTRATION	0	945	0	0
	3-1-2	ENFORCEMENT INDIRECT ADMINISTRATION	0	630	0	0
		TOTAL, PROJECT	\$0	\$31,500	\$0	\$0
		TOTAL CAPITAL, ALL PROJECTS	\$3,500	\$31,500	\$0	\$0
		TOTAL INFORMATIONAL, ALL PROJECTS				
		TOTAL, ALL PROJECTS	\$3,500	\$31,500	\$0	\$0

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

Category Code/Name		Est 2018	Bud 2019	BL 2020	BL 2021
<i>Project Sequence/Name</i>					
Goal/Obj/Str	Strategy Name				
5005	Acquisition of Information Resource Technologies				
2 VoIP Implementation					
OOE					
Capital					
1-1-1 OPERATE LICENSING SYSTEM					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	2,170	0	0	0
2-1-1 ADMINISTER ENFORCEMENT					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	1,155	0	0	0
3-1-1 LICENSING INDIRECT ADMINISTRATION					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	105	0	0	0
3-1-2 ENFORCEMENT INDIRECT ADMINISTRATION					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	70	0	0	0
TOTAL, OOE's		\$3,500	\$0	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-1 OPERATE LICENSING SYSTEM					
<u>General Budget</u>					

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2018	Bud 2019	BL 2020	BL 2021
2 VoIP Implementation					
1	General Revenue Fund	2,170	0	0	0
2-1-1 ADMINISTER ENFORCEMENT					
<u>General Budget</u>					
1	General Revenue Fund	1,155	0	0	0
3-1-1 LICENSING INDIRECT ADMINISTRATION					
<u>General Budget</u>					
1	General Revenue Fund	105	0	0	0
3-1-2 ENFORCEMENT INDIRECT ADMINISTRATION					
<u>General Budget</u>					
1	General Revenue Fund	70	0	0	0
TOTAL, GENERAL REVENUE FUNDS		\$3,500	\$0	0	0
TOTAL, MOFs		\$3,500	\$0	0	0

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

Category Code/Name		Est 2018	Bud 2019	BL 2020	BL 2021
Project Sequence/Name					
Goal/Obj/Str	Strategy Name				
1 CAPPS					
OOE					
Capital					
1-1-1 OPERATE LICENSING SYSTEM					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	0	19,530	0	0
2-1-1 ADMINISTER ENFORCEMENT					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	0	10,395	0	0
3-1-1 LICENSING INDIRECT ADMINISTRATION					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	0	945	0	0
3-1-2 ENFORCEMENT INDIRECT ADMINISTRATION					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	0	630	0	0
TOTAL, OOE's		\$0	\$31,500	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
1-1-1 OPERATE LICENSING SYSTEM					
<u>General Budget</u>					
1	General Revenue Fund	0	19,530	0	0
2-1-1 ADMINISTER ENFORCEMENT					

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2018	Bud 2019	BL 2020	BL 2021
1 CAPPS					
<u>General Budget</u>					
1	General Revenue Fund	0	10,395	0	0
3-1-1 LICENSING INDIRECT ADMINISTRATION					
<u>General Budget</u>					
1	General Revenue Fund	0	945	0	0
3-1-2 ENFORCEMENT INDIRECT ADMINISTRATION					
<u>General Budget</u>					
1	General Revenue Fund	0	630	0	0
TOTAL, GENERAL REVENUE FUNDS		\$0	\$31,500	0	0
TOTAL, MOFs		\$0	\$31,500	0	0

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

	Est 2018	Bud 2019	BL 2020	BL 2021
CAPITAL				
<u>General Budget</u>				
GENERAL REVENUE FUNDS				
TOTAL, GENERAL BUDGET	\$3,500	\$31,500	0	0
TOTAL, ALL PROJECTS	3,500	31,500	0	0
	\$3,500	\$31,500	0	0

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General Revenue (GR) & General Revenue Dedicated (GR-D) Baseline

DATE: 10/11/2018

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 11:48:15AM

Agency code: _____ Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

GR Baseline Request Limit = \$2,718,753

GR-D Baseline Request Limit = \$0

Strategy/Strategy Option/Rider

2020 Funds

2021 Funds

**Biennial
Cumulative GR**

**Biennial
Cumulative Ded**

Page #

FTEs	Total	GR	Ded	FTEs	Total	GR	Ded				
Strategy: 1 - 1 - 1	Issue and Renew Licenses										
13.0	813,278	777,175	0	13.0	813,279	777,176	0	1,554,351	0	_____	
Strategy: 1 - 1 - 2	Texas.gov. Estimated and Nontransferable										
0.0	157,715	157,715	0	0.0	157,715	157,715	0	1,869,781	0	_____	
Strategy: 2 - 1 - 1	Enforce the Physical Therapy and Occupational Therapy Practice Acts										
7.0	440,647	421,245	0	7.0	440,647	421,245	0	2,712,271	0	_____	
Strategy: 3 - 1 - 1	Licensing Indirect Administration										
0.0	2,242	1,945	0	0.0	2,242	1,945	0	2,716,161	0	_____	
Strategy: 3 - 1 - 2	Enforcement Indirect Administration										
0.0	1,494	1,296	0	0.0	1,494	1,296	0	2,718,753	0	_____	
20.0				20.0				*****GR Baseline Request Limit=\$2,718,753*****			

Excp Item: 1	Replace end of life office furniture										
0.0	2,500	2,500	0	0.0	0	0	0	2,721,253	0	_____	

Strategy Detail for Excp Item: 1											
Strategy: 1 - 1 - 1	Issue and Renew Licenses										
0.0	1,550	1,550	0	0.0	0	0	0				
Strategy: 2 - 1 - 1	Enforce the Physical Therapy and Occupational Therapy Practice Acts										
0.0	825	825	0	0.0	0	0	0				
Strategy: 3 - 1 - 1	Licensing Indirect Administration										
0.0	75	75	0	0.0	0	0	0				
Strategy: 3 - 1 - 2	Enforcement Indirect Administration										
0.0	50	50	0	0.0	0	0	0				

Excp Item: 2	Replace one of the two agency servers										
0.0	4,819	4,819	0	0.0	0	0	0	2,726,072	0	_____	

General Revenue (GR) & General Revenue Dedicated (GR-D) Baseline

DATE: 10/11/2018

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 11:48:15AM

Agency code: _____ Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

GR Baseline Request Limit = \$2,718,753

GR-D Baseline Request Limit = \$0

Strategy/Strategy Option/Rider				2020 Funds				2021 Funds				Biennial	Biennial	Page #
FTEs	Total	GR	Ded	FTEs	Total	GR	Ded	Cumulative GR	Cumulative Ded					
Strategy Detail for Excp Item: 2														
Strategy: 1 - 1 - 1	Issue and Renew Licenses													
0.0	2,988	2,988	0	0.0	0	0	0							
Strategy: 2 - 1 - 1	Enforce the Physical Therapy and Occupational Therapy Practice Acts													
0.0	1,590	1,590	0	0.0	0	0	0							
Strategy: 3 - 1 - 1	Licensing Indirect Administration													
0.0	144	144	0	0.0	0	0	0							
Strategy: 3 - 1 - 2	Enforcement Indirect Administration													
0.0	97	97	0	0.0	0	0	0							
Excp Item: 3	Unfunded mandate – Restore employee health care and retirement contribution by agency													
0.0	15,300	15,300	0	0.0	15,300	15,300	0	2,756,672	0	_____				
Strategy Detail for Excp Item: 3														
Strategy: 1 - 1 - 1	Issue and Renew Licenses													
0.0	9,180	9,180	0	0.0	9,180	9,180	0							
Strategy: 2 - 1 - 1	Enforce the Physical Therapy and Occupational Therapy Practice Acts													
0.0	6,120	6,120	0	0.0	6,120	6,120	0							
Excp Item: 4	Offset to the increase in the agency share of the mandatory HPC support													
0.0	5,169	5,169	0	0.0	5,169	5,169	0	2,767,010	0	_____				

General Revenue (GR) & General Revenue Dedicated (GR-D) Baseline

DATE: 10/11/2018

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 11:48:15AM

Agency code: _____ Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

GR Baseline Request Limit = \$2,718,753

GR-D Baseline Request Limit = \$0

Strategy/Strategy Option/Rider

2020 Funds

2021 Funds

Biennial Cumulative GR Biennial Cumulative Ded Page #

2020 Funds				2021 Funds						
FTEs	Total	GR	Ded	FTEs	Total	GR	Ded	Biennial Cumulative GR	Biennial Cumulative Ded	Page #
Strategy Detail for Excp Item: 4										
Strategy: 1 - 1 - 1	Issue and Renew Licenses									
0.0	3,205	3,205	0	0.0	3,205	3,205	0			
Strategy: 2 - 1 - 1	Enforce the Physical Therapy and Occupational Therapy Practice Acts									
0.0	1,706	1,706	0	0.0	1,706	1,706	0			
Strategy: 3 - 1 - 1	Licensing Indirect Administration									
0.0	155	155	0	0.0	155	155	0			
Strategy: 3 - 1 - 2	Enforcement Indirect Administration									
0.0	103	103	0	0.0	103	103	0			
20.0	\$1,443,164	\$1,387,164	\$0	20.0	\$1,435,846	\$1,379,846	0			

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6.A. Historically Underutilized Business Supporting Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/21/2018**
 Time: **3:13:51PM**

Agency Code: **533** Agency: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2016 - 2017 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2016			Total Expenditures FY 2016		HUB Expenditures FY 2017			Total Expenditures FY 2017	
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	FY 2017		
11.2%	Heavy Construction	0.0 %	0.0%	0.0%	\$0	\$0	0.0 %	0.0%	0.0%	\$0	\$0	
32.9%	Special Trade	0.0 %	0.0%	0.0%	\$0	\$0	0.0 %	0.0%	0.0%	\$0	\$0	
23.7%	Professional Services	0.0 %	0.0%	0.0%	\$0	\$0	0.0 %	0.0%	0.0%	\$0	\$0	
26.0%	Other Services	26.0 %	28.9%	2.9%	\$3,858	\$13,331	26.0 %	90.7%	64.7%	\$51,780	\$57,063	
21.1%	Commodities	21.1 %	41.4%	20.3%	\$11,160	\$26,976	21.1 %	61.6%	40.5%	\$11,724	\$19,042	
	Total Expenditures		37.3%		\$15,018	\$40,307		83.4%		\$63,504	\$76,105	

B. Assessment of Fiscal Year 2016 - 2017 Efforts to Meet HUB Procurement Goals

Attainment:

The agency exceeded 100% of the applicable statewide and agency HUB procurement goals in FY 2016 and 2017.

Applicability:

The "Heavy Construction", "Building Construction", "Special Trade Construction", and "Professional Services" categories are not applicable to agency operations in either FY 2016 or 2017 since the agency did not have any strategies or programs related to construction.

Factors Affecting Attainment:

The agency met and exceeded the goal of "Commodities" and "Other Services" in FY 2016. Goals for both Procurement Categories were exceeded even more substantially in 2017. The agency contracts with and utilizes HUB vendors when available.

"Good-Faith" Efforts:

The agency made the following "good-faith" efforts to comply with the statewide HUB procurement procedures as stated by 34 TAC, § 20.13(d):

- .ensured that contract specifications, terms, and conditions reflected the agency's actual requirements, were clearly stated, and did not impose unreasonable or unnecessary contract requirements.
- .provided potential bidders with a list of certified HUBs for subcontracting, and
- .prepared and distributed information on procurement procedures in a manner that encouraged participation in agency contracts by all businesses.

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6.E. Estimated Revenue Collections Supporting Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

FUND/ACCOUNT	Act 2017	Exp 2018	Exp 2019	Bud 2020	Est 2021
<u>1</u> General Revenue Fund					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3562 Health Related Profession Fees	4,636,022	5,380,000	5,500,000	4,427,500	4,427,500
Subtotal: Actual/Estimated Revenue	4,636,022	5,380,000	5,500,000	4,427,500	4,427,500
Total Available	\$4,636,022	\$5,380,000	\$5,500,000	\$4,427,500	\$4,427,500
DEDUCTIONS:					
Expended/Budgeted/Requested	(1,340,272)	(1,341,818)	(1,376,935)	(1,359,376)	(1,359,376)
Transfer-Employee Benefits (OASI,ERS,BRP)	(336,909)	(373,051)	(364,887)	(360,235)	(360,235)
Total, Deductions	\$(1,677,181)	\$(1,714,869)	\$(1,741,822)	\$(1,719,611)	\$(1,719,611)
Ending Fund/Account Balance	\$2,958,841	\$3,665,131	\$3,758,178	\$2,707,889	\$2,707,889

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Ralph Harper

6.E. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

FUND/ACCOUNT	Act 2017	Exp 2018	Exp 2019	Bud 2020	Est 2021
666 Appropriated Receipts					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3752 Sale of Publications/Advertising	122,784	157,765	125,000	125,000	125,000
Subtotal: Actual/Estimated Revenue	122,784	157,765	125,000	125,000	125,000
Total Available	\$122,784	\$157,765	\$125,000	\$125,000	\$125,000
DEDUCTIONS:					
Expended/Budgeted/Requested	(94,579)	(111,425)	(80,960)	(56,000)	(56,000)
Total, Deductions	\$(94,579)	\$(111,425)	\$(80,960)	\$(56,000)	\$(56,000)
Ending Fund/Account Balance	\$28,205	\$46,340	\$44,040	\$69,000	\$69,000

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Ralph Harper

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/21/2018
Time: 3:36:34PM

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

1 Funding for 3 FTEs

Category: Administrative - FTEs / Layoffs

Item Comment: If the agency does suffer the 10% budget reduction, the impact will result in eliminating funding for three FTEs. The impact to the State of Texas will result in an expected drop in current performance measure statistics (because of decreased agency personnel) of:

- ...Average licensing cost per individual licensed.
- ...Average cost per facility registration licensed.
- ...Percent of new licenses issued within 10 days.
- ...Percent of license renewals issued within 7 days.
- ...Average time for license issuance.
- ...Average time for license renewal.

Strategy: 1-1-1 Issue and Renew Licenses

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$55,015	\$55,015	\$110,030
General Revenue Funds Total	\$0	\$0	\$0	\$55,015	\$55,015	\$110,030

Strategy: 2-1-1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$29,624	\$29,624	\$59,248
General Revenue Funds Total	\$0	\$0	\$0	\$29,624	\$29,624	\$59,248

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/21/2018
Time: 3:36:34PM

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

Item Total	\$0	\$0	\$0	\$84,639	\$84,639	\$169,278			
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FTE Reductions (From FY 2020 and FY 2021 Base Request)				3.0	3.0				
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2 Employee Assistance Program and other Contracts

Category: Administrative - Contracted Admin Services

Item Comment: A 10% budget reduction will require eliminating funds for the Employee Assistance Program whose counseling services are available to all employees and their families. Other service contracts cancelled will be document shredding, and mass emailing through Constant Contact.

Strategy: 1-1-1 Issue and Renew Licenses

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$3,509	\$3,509	\$7,018			
General Revenue Funds Total	\$0	\$0	\$0	\$3,509	\$3,509	\$7,018			

Strategy: 2-1-1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$1,868	\$1,868	\$3,736			
General Revenue Funds Total	\$0	\$0	\$0	\$1,868	\$1,868	\$3,736			

Strategy: 3-1-1 Licensing Indirect Administration

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/21/2018
Time: 3:36:34PM

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$170	\$170	\$340			
General Revenue Funds Total	\$0	\$0	\$0	\$170	\$170	\$340			
Strategy: 3-1-2 Enforcement Indirect Administration									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$113	\$113	\$226			
General Revenue Funds Total	\$0	\$0	\$0	\$113	\$113	\$226			
Item Total	\$0	\$0	\$0	\$5,660	\$5,660	\$11,320			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

3 Investigator & Board Member Travel

Category: Programs - Service Reductions (Contracted)

Item Comment: A 10% budget reduction will force the agency to cancel two sets of board and committee meetings per year (PT, OT, and Executive Council) reducing the number of each from four to two. All travel will be cut except for absolutely essential investigator travel, and cancel school presentation programs by board coordinators and investigators unless totally funded by the schools. Board actions on proposed rules, rulings and decisions will be delayed by an additional three months. Enforcement performance measures will seriously be degraded due to investigation committee meeting cancellations and rescheduling every six months vs three, and major cutback on on-site investigations.

Strategy: 1-1-1 Issue and Renew Licenses

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/21/2018
Time: 3:36:34PM

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$26,950	\$26,950	\$53,900			
General Revenue Funds Total	\$0	\$0	\$0	\$26,950	\$26,950	\$53,900			

Strategy: 2-1-1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$4,020	\$4,020	\$8,040			
General Revenue Funds Total	\$0	\$0	\$0	\$4,020	\$4,020	\$8,040			
Item Total	\$0	\$0	\$0	\$30,970	\$30,970	\$61,940			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

4 Database -Web Page Programming / Support

Category: Administrative - Contracted Admin Services

Item Comment: A 10% budget reduction will require the agency to reduce the funding for the agency's licensee database programming/maintenance cost.

Strategy: 1-1-1 Issue and Renew Licenses

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/21/2018
Time: 3:36:34PM

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$2,330	\$2,330	\$4,660			
General Revenue Funds Total	\$0	\$0	\$0	\$2,330	\$2,330	\$4,660			

Strategy: 2-1-1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$1,240	\$1,240	\$2,480			
General Revenue Funds Total	\$0	\$0	\$0	\$1,240	\$1,240	\$2,480			

Strategy: 3-1-1 Licensing Indirect Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$113	\$113	\$226			
General Revenue Funds Total	\$0	\$0	\$0	\$113	\$113	\$226			

Strategy: 3-1-2 Enforcement Indirect Administration

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/21/2018
Time: 3:36:34PM

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$75	\$75	\$150			
General Revenue Funds Total	\$0	\$0	\$0	\$75	\$75	\$150			
Item Total	\$0	\$0	\$0	\$3,758	\$3,758	\$7,516			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

5 Consumable Supplies

Category: Administrative - Operating Expenses

Item Comment: A 10% budget reduction will require the agency to reduce the funding for the agency's operating consumable supplies.

Strategy: 1-1-1 Issue and Renew Licenses

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$3,664	\$3,666	\$7,330			
General Revenue Funds Total	\$0	\$0	\$0	\$3,664	\$3,666	\$7,330			

Strategy: 2-1-1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/21/2018
Time: 3:36:34PM

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$1,950	\$1,951	\$3,901			
General Revenue Funds Total	\$0	\$0	\$0	\$1,950	\$1,951	\$3,901			
Strategy: 3-1-1 Licensing Indirect Administration									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$177	\$177	\$354			
General Revenue Funds Total	\$0	\$0	\$0	\$177	\$177	\$354			
Strategy: 3-1-2 Enforcement Indirect Administration									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$118	\$118	\$236			
General Revenue Funds Total	\$0	\$0	\$0	\$118	\$118	\$236			
Item Total	\$0	\$0	\$0	\$5,909	\$5,912	\$11,821			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/21/2018
Time: 3:36:34PM

Agency code: **533** Agency name: **Executive Council of Physical Therapy & Occupational Therapy Examiners**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
6 Board Member Per Diem									
Category: Administrative - Travel									
Item Comment: Reduced travel due to eliminating additional board, committee, and council meetings, the impact will require eliminating funding for board member per diem.									
Strategy: 1-1-1 Issue and Renew Licenses									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$5,000	\$5,000	\$10,000			
General Revenue Funds Total	\$0	\$0	\$0	\$5,000	\$5,000	\$10,000			
Item Total	\$0	\$0	\$0	\$5,000	\$5,000	\$10,000			
FTE Reductions (From FY 2020 and FY 2021 Base Request)									
AGENCY TOTALS									
General Revenue Total				\$135,936	\$135,939	\$271,875			\$271,875
Agency Grand Total	\$0	\$0	\$0	\$135,936	\$135,939	\$271,875			\$271,875
Difference, Options Total Less Target									
Agency FTE Reductions (From FY 2020 and FY 2021 Base Request)				3.0	3.0				
Article Total				\$135,936	\$135,939	\$271,875			
Statewide Total				\$135,936	\$135,939	\$271,875			

7.A. Indirect Administrative and Support Costs

8/17/2018 8:36:16AM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

Strategy		Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
3-1-1	Licensing Indirect Administration					
OBJECTS OF EXPENSE:						
2001	PROFESSIONAL FEES AND SERVICES	\$1,619	\$ 8	\$ 480	\$ 125	\$ 125
2003	CONSUMABLE SUPPLIES	401	324	450	300	300
2004	UTILITIES	309	294	327	375	375
2006	RENT - BUILDING	16	152	66	15	15
2009	OTHER OPERATING EXPENSE	2,438	938	2,673	1,427	1,427
Total, Objects of Expense		\$4,783	\$1,716	\$3,996	\$2,242	\$2,242
METHOD OF FINANCING:						
1	General Revenue Fund	4,783	1,419	3,699	1,945	1,945
2	Available School Fund	0	297	297	297	297
Total, Method of Financing		\$4,783	\$1,716	\$3,996	\$2,242	\$2,242
Method of Allocation						

In general, indirect administrative and support costs are prorated on the basis of a percentage of the individual strategy to the actual budget total for each fiscal year. The percentage that applies to strategy 1, goal 3 is 3% for FY 2017, 2018, 2019, 2020, and 2021. This calculation method was selected based on the only function the agency operates and regulates, and the administrative demands closely related to the budget size.

7.A. Indirect Administrative and Support Costs

8/17/2018 8:36:16AM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

Strategy		Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
3-1-2	Enforcement Indirect Administration					
OBJECTS OF EXPENSE:						
2001	PROFESSIONAL FEES AND SERVICES	\$1,080	\$ 5	\$ 320	\$ 83	\$ 83
2003	CONSUMABLE SUPPLIES	268	216	300	200	200
2004	UTILITIES	200	196	218	250	250
2006	RENT - BUILDING	11	101	44	10	10
2009	OTHER OPERATING EXPENSE	1,625	625	1,782	951	951
Total, Objects of Expense		\$3,184	\$1,143	\$2,664	\$1,494	\$1,494
METHOD OF FINANCING:						
1	General Revenue Fund	3,184	945	2,466	1,296	1,296
2	Available School Fund	0	198	198	198	198
Total, Method of Financing		\$3,184	\$1,143	\$2,664	\$1,494	\$1,494
Method of Allocation						

In general, indirect administrative and support costs are prorated on the basis of the individual strategy to the actual budget for each fiscal year. The percentage that applies to strategy 2, is 2% for FY 2017, 2018, 2019, 2020, 2021. This calculation method was selected based on the one function the agency operates and regulates, and the administrative demands closely related to the budget size.

7.A. Indirect Administrative and Support Costs

8/17/2018 8:36:16AM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

533 Executive Council of Physical Therapy & Occupational Therapy Examiners

	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
GRAND TOTALS					
Objects of Expense					
2001 PROFESSIONAL FEES AND SERVICES	\$2,699	\$13	\$800	\$208	\$208
2003 CONSUMABLE SUPPLIES	\$669	\$540	\$750	\$500	\$500
2004 UTILITIES	\$509	\$490	\$545	\$625	\$625
2006 RENT - BUILDING	\$27	\$253	\$110	\$25	\$25
2009 OTHER OPERATING EXPENSE	\$4,063	\$1,563	\$4,455	\$2,378	\$2,378
Total, Objects of Expense	\$7,967	\$2,859	\$6,660	\$3,736	\$3,736
Method of Financing					
1 General Revenue Fund	\$7,967	\$2,364	\$6,165	\$3,241	\$3,241
2 Available School Fund	\$0	\$495	\$495	\$495	\$495
Total, Method of Financing	\$7,967	\$2,859	\$6,660	\$3,736	\$3,736
Full-Time-Equivalent Positions (FTE)					

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Justification Description

8/13/2018 3:35:42PM

86R Stage: S01 Version: 1

Agency: 533 EXECUTIVE COUNCIL OF PHYSICAL THERAPY & OCCUPATIONAL THERAPY EXAMINERS

Goal: 1 License Physical and Occupational Therapists

Objective: 1 Ensure License Standards for PTs and OTs

Stratagy: 1 Issue and Renew Licenses

General Justification

The Executive Council of Physical Therapy and Occupational Therapy Examiners is an independent state health regulatory agency. The 73rd Legislature, Regular Session, created the Executive Council in 1993 to administer and enforce the Physical Therapy Practice Act and the Occupational Therapy Practice Act. This legislation merged the administrative functions of the Texas Board of Physical Therapy Examiners and the Texas Advisory Board of Occupational Therapy under the Executive Council, while keeping the rule and decision-making of the two boards intact.

The two boards are tasked by their governing statutes to regulate the occupations of physical therapists (PT), physical therapist assistants (PTA), occupational therapists (OT) and occupational therapist assistants (OTA) through licensing and enforcement. Until September 2019, the agency is also tasked to register facilities which provide physical therapy and occupational therapy services.

This strategy allows the agency to assist in the fulfillment of the statewide priority goal of ensuring that communities are served by high quality professionals and businesses, by setting clear standards, maintaining compliance, and seeking market-based solutions. The licensing, examination, continuing education, and public information functions of this agency ensures the competency of the professionals providing physical therapy and occupational therapy services to the public.

Goal: 1 License Physical and Occupational Therapists

Objective: 1 Ensure License Standards for PTs and OTs

Stratagy: 2 Texas.gov. Estimated and Nontransferable

General Justification

In accordance with Government Code, Section 2054.252, Texas OnLine Project, the Executive Council of Physical Therapy and Occupational Therapy Examiners is required to participate in a licensee online renewal and application system. All licensees will pay a renewal and/or application subscription fee, collected and managed by the Comptroller, on behalf of NICUSA/Texas OnLine Authority, the ultimate recipient of the fees. Fees are collected by the agency. The agency makes a payment monthly to NICUSA for the previous month's fees.

Justification Description

8/13/2018 3:35:42PM

86R Stage: S01 Version: 1

Agency: 533 EXECUTIVE COUNCIL OF PHYSICAL THERAPY & OCCUPATIONAL THERAPY EXAMINERS

- Goal:** **2 Promote Compliance and Enforce PT and OT Practice Acts and Rules**
- Objective:** **1 Enforce and Adjudicate PT and OT Practice Acts**
- Strategy:** **1 Enforce the Physical Therapy and Occupational Therapy Practice Acts**

General Justification

The Executive Council of Physical Therapy and Occupational Therapy Examiners is an independent state health regulatory agency. The Executive Council was created in 1993 to administer and enforce the Physical Therapy Practice Act and the Occupational Therapy Practice Act.

The two boards are tasked by their governing statutes to regulate the occupations of physical therapists (PT), physical therapist assistants (PTA), occupational therapists (OT), and occupational therapist assistants (OTA) through licensing and enforcement. Additionally, until September 2019, the agency is tasked to register facilities which provide physical therapy and occupational therapy services. The Texas Board of Physical Therapy Examiners' enabling statute is the Texas Physical Therapy Practice Act, Article 4512e, V.T.C.S.. The Texas Board of Occupational Therapy Examiners' enabling statute is the Occupational Therapy Practice Act, Article 8851, V.T.C.S.. This strategy will allow the agency to assist in the fulfillment of the statewide priority goal of ensuring that communities are served by high quality professionals and businesses by maintaining compliance. This strategy allows the agency to enforce the practice acts and rules of the Physical Therapy and Occupational Therapy Boards by monitoring the practice of physical therapy and occupational therapy, investigating complaints, and disciplining licensees who violate the practice acts or rules, thereby deterring other violations and safeguarding the public from unqualified practitioners.

Justification Description

8/13/2018 3:35:42PM

86R Stage: S01 Version: 1

Agency: 533 EXECUTIVE COUNCIL OF PHYSICAL THERAPY & OCCUPATIONAL THERAPY EXAMINERS

Goal: **3 Indirect Administration**
Objective: **1 Indirect Administration**
Stratagy: **1 Licensing Indirect Administration**

General Justification

In general, indirect administrative and support costs are prorated on the basis of a percentage of the individual strategy to the actual budget total for each fiscal year. The percentage that applies to strategy 1, goal 3 is 3% for FY 2017 - FY2021. This calculation method was selected based on the one function only the agency operates, and the administrative demands are closely related to the budget size.

Goal: **3 Indirect Administration**
Objective: **1 Indirect Administration**
Stratagy: **2 Enforcement Indirect Administration**

General Justification

In general, indirect administrative and support costs are prorated on the basis of a percentage of the individual strategy to the actual budget total for each fiscal year. The percentage that applies to strategy 1, goal 3 is 2% for FY 2017 - FY2021. This calculation method was selected based on the one function only the agency operates, and the administrative demands are closely related to the budget size.

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Agency code: 533 Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Goal: 1 License Physical and Occupational Therapists
Objective: 1 Ensure License Standards for PTs and OTs
Strategy: 1 Issue and Renew Licenses

External/Internal Factors:

In recent years there has been a substantial increase in the demand for physical therapy and occupational therapy services across the nation as well as in Texas, matching the general trend for all health services. This is reflected in the therapist population size. In the last six years though, this growth has slowed down to a steady 3-4% per year increase. Rising health-care costs, the uncertainty about the future of Medicare/Medicaid reimbursement, and the increase in state requirements and services are the primary economic variables that affect the agency through its impact on the public and therefore its licensees.

By all estimates, the demand for physical therapy and occupational therapy services will continue to increase at the previous rate over the short-term in Texas. The agency can implement this strategy with the original baseline budget, but will have difficulties with a reduced budget, e.g., the 3.9% baseline budget reduction in the FY2018/2019 biennium.

Goal: 1 License Physical and Occupational Therapists
Objective: 1 Ensure License Standards for PTs and OTs
Strategy: 2 Texas.gov. Estimated and Nontransferable

External/Internal Factors:

Goal: 2 Promote Compliance and Enforce PT and OT Practice Acts and Rules
Objective: 1 Enforce and Adjudicate PT and OT Practice Acts
Strategy: 1 Enforce the Physical Therapy and Occupational Therapy Practice Acts

External/Internal Factors:

The agency can implement this strategy with the original baseline budget, but will have difficulties with a reduced budget, e.g., the 3.9% baseline budget reduction in the FY2018/2019 biennium.

STRATEGY EXTERNAL/INTERNAL FACTORS
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/13/2018
TIME: 3:35:42PM
PAGE: 2 of 2

Agency code: 533

Agency name: Executive Council of Physical Therapy & Occupational Therapy Examiners

Goal: 3 Indirect Administration

Objective: 1 Indirect Administration

Strategy: 1 Licensing Indirect Administration

External/Internal Factors:

Goal: 3 Indirect Administration

Objective: 1 Indirect Administration

Strategy: 2 Enforcement Indirect Administration

External/Internal Factors:

